A variable precision rough set model based on the granularity of tolerance relation

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ABSTRACT

As one of core problems in rough set theory, normally, classification analysis requires that "all" rather than "most" elements in one class are similar to each other. Nevertheless, the situation is just opposite to that in many actual applications. This means users actually just require "most" rather than "all" elements in a class are similar to each other. In the case, to further enhance the robustness and generalization ability of rough set based on tolerance relation, this paper, with concept lattice as theoretical foundation, presents a variable precision rough set model based on the granularity of tolerance relation, in which users can flexibly adjust parameters so as to meet the actual needs. The so-called relation granularity means that the tolerance relation can be decomposed into several strongly connected sub-relations and several weakly connected sub-relations. In essence, classes defined by people usually correspond to strongly connected sub-relations, but classes defined in the paper always correspond to weakly connected sub-relations. In the paper, a algebraic structure can be inferred from an information system, which can organize all hidden covers or partitions in the form of lattice structure. In addition, solutions to the problems are studied, such as reduction, core and dependency. In short, the paper offers a new idea for the expansion of classical rough set models from the perspective of concept lattice.

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1. Introduction

It is known that concept has been taken as the unit or cell of human cognition in people’s thinking activities, since concept contains the most essential information of some kind of things, it plays an important role in human’s cognitive process. In essence, as one major method for human to know the real world and its laws, concept thinking can be served as the foundation for people to form various complicated ideas and also effective means to express knowledge. In 1982, German mathematician Wille professor brought forth formal concept analysis (FCA), or concept lattice theory [46], which can be considered as an application branch of lattice theory. As one kind of method to mathematically abstract and formalize concepts from the objective world, FCA greatly stimulates people’s enthusiasm to solve problems under the concept thinking. In FCA, the basic viewpoint of concept essentially developed from the understanding of concept in philosophy, that is, one concept is mathematically described from aspects of extent and intent, in which extent refers to the set of objects covered by concept, and intent refers to the set of common characteristics of objects covered by concept. Concept lattice, as the core data structure of FCA, is an effective tool for data analysis and rule extraction, and can vividly and concisely manifest the generalization-specialization relationship among concepts by means of Hasse graph. In recent years, concept lattice has developed into a powerful data analysis method [14,16,21,25,32,37,48,52], and found wide applications in many fields like data mining analysis, information retrieval, knowledge discovery, ontology engineering, etc.

In practice, information collected from actual systems often contains noise, namely, information is not always accurate or complete. Along with the rapid development of science and technology, the uncertainty of information is more and more remarkable. Therefore, it is always inevitable for people to process the uncertainty and incomplete information in various applications. In the case, how to distill useful knowledge from the massive, inaccurate, fuzzy or incomplete information has become an extremely urgent task. Although, people can use pure mathematical assumptions to eliminate or avoid this uncertainty, but the effect is often not ideal. Conversely, if methods can appropriate to deal with these information, it is often helpful to solve many complex practical problems. Over the years, researchers have been trying to find effective ways to deal with the incomplete and uncertainty information.
scientifically. As classic methods to deal with uncertain information, evidence theory, fuzzy theory, probability statistics, etc. have been used in many practical fields. However, these methods need some additional information or prior knowledge, such as fuzzy membership function, belief function, statistical distribution function, etc. which can not be easily obtained.

In 1982, Polish scholar Pawlak brought forth rough set theory [31], as a kind of important reasoning technology in artificial intelligence, which can effectively analysis and process the fuzzy and uncertain information without any prior knowledge except for data sets. Its main idea is to, with the classification ability being kept unchanged, deduce decision or classification rules of problems through knowledge reduction. Meanwhile, it can use the observed and measured knowledge to approximately describe imprecise or uncertain concepts. Due to its effectiveness and usability in the process of dealing with uncertain problems, rough set has already drawn much attention of scholars [9,11,12,33], and lots of research results have been widely applied to various fields, such as medical diagnosis, decision analysis, image processing, machine learning, and so on. In addition, with the deepening research and widening scope, the data forms and organization structures are increasingly diversified, so it becomes more and more difficult for people to effectively solve the complicated practical problems just through any single theory. Therefore, combining rough set with other artificial intelligence technology has become a hot research topic of international scholars, such as probability statistics, fuzzy set, evidence theory, neural network, concept lattice [35,39,44,47], and so on. So far, the whole theoretical system of rough set has already been gradually maturing and increasingly perfect, which greatly enriched and expanded the theoretical foundation and the application scope of rough set.

Rough set and concept lattice, as two mathematical branches generated in the same era, there are some significant differences from the perspective of their research methodology, but the same research background and objective indicate that they must have something in common. In fact, the two theories share many similarities [17], such as any one-valued formal context, as a kind of data set, is just a special case of information systems essentially, therefore, their mutual reference and integration not only enhance their own analytic abilities, but also can help to understand one theory from the perspective of another. Meanwhile, by means of mixing their respective advantages, the fusion theory may help to establish a more general and universal data analysis framework. Therefore, it is extremely significant to combine two theories in terms of their advantages. Recently, many remarkable research achievements of the fusion theory have been made. Oosthuizen informally described the connection between rough set and concept lattice [30]. In the study of logical models, Duntich and Gediga defined modal-style operators on the basis of binary relations, and constructed the attribute-oriented concept lattice according to the upper approximate operator [5,8]. Deogun and Saquer mainly discussed the monotone concept lattice, which is a direct expansion of classical concept lattice [4,36]. By introducing the idea of upper and lower approximations in rough set, Yao expended the definition of concept lattice, studied the rough set approximation of formal concept, built object-oriented and attribute-oriented concept lattices, and proved that attribute-oriented concept lattice and object-oriented concept lattice are isomorphic [49–51]. Zhang et al. introduced variable threshold concept lattices [53]. Belohlavek et al. provided the uniform structure of different variable threshold concept lattices [1]. Fan et al. studied fuzzy inferences based on fuzzy concept lattices [6]. Through comparing the relationship between fuzzy concept lattice and rough set, Lai et al. pointed out that each complete fuzzy concept lattice could be expressed as the concept lattice in the sense of rough set under certain conditions. Lots of scholars introduced the idea of reduction in rough set into concept lattice, and discussed the reduction theory in concept lattice [2,20,24,27–29,45]. Kang et al. once suggested a rough set model based on concept lattice, which solved the problem of algebraic structure in the discrete information system, namely inducing a lattice structure from an information system, with each node in the lattice being called a rough concept, meanwhile, they also presented solutions to some common problems in rough set based on concept intents, such as core, reduction and function dependence [15]. For more flexible and efficient learning concept, from the cognitive computing perspective, Li et al. investigated concept learning by means of granular computing and set approximations [22], in addition, they have focused on issues of approximate concept lattice, approximate decision rule and knowledge reduction in incomplete decision contexts [23]. Shao and Leung revealed some relationships of reduction results in rough set and concept lattice [38]. Tan systematically explored connections between rough set and concept lattice in terms of approximation operators, structures and knowledge reduction [43]. Li et al. [26] made a comparison between multigranulation rough sets and concept lattices via rule acquisition, and obtained some interesting results. For more research findings concerning the fusion theory of rough set and concept lattice, please see the literature [51].

It is known to us that Pawlak’s classical rough set model is established on the basis of equivalence relation (equivalence relation needs to meet reflexivity, transitivity and symmetry), and used to process complete information systems containing nominal attributes (domain of attribute is composed of several discrete values, and different values are independent of each other). However, when the domain of attribute is a real number set, or the differences among different values are caused by test errors, or the problems to be solved are highly complicated, or the scale of data set is too big, it is meaningless to analyze some minor differences. In the case, classical Pawlak’s rough set model obviously has some limitations. In practical applications, users may not only require that objects with identical attribute values should be put into the same class, but also assume that objects with similar attribute values should also be classified the same. To further enhance the data processing capability of rough set, many scholars expand equivalence relations to tolerance relations (sometimes called similarity relation) only meeting reflexivity and symmetry. Tolerance relation is substantially different from binary relation of other types in terms of symmetry. Namely, symmetry is the basic characteristic of tolerance relation. In view of the universality of tolerance relation, great research findings have been made on the theory and application of rough set based on tolerance relation in recent years. To enhance the data processing capability of rough set, Slowinski et al. studied the properties and applications of rough set based on similarity relation, and pointed out that rough set based on similarity relation can be used for ignoring minor differences of attribute values [41,42]. Skowron et al. presented rough set based on tolerance relation, which was conducive to enhancing the robustness of system decisions and also the efficiency of decision making [40]; Leung and Li [19] studied the granules in incomplete information system, namely, with maximal tolerance classes as information granules, overcome the flaws of knowledge expression based on similarity class. Hu et al. proposed neighborhood rough set models in information systems with mixed features, where objects with numerical attributes were granulated with fuzzy tolerance relations obtain by Euclidean distance, while objects with nominal features were granulated with equivalence relations [13]. Guan and Wang applied maximal tolerance classes to set-valued information system, and discussed problems of attribute reduction and decision rule acquisition [10]. Based on maximal tolerance classes, Qian et al. studied the approximation reduction in inconsistent incomplete decision tables [34]. Dai defined fuzzy tolerance...
relations in incomplete numerical data, established the fuzzy tolerance rough set, and discussed the problem of attribute reduction [3].

Constructing and discovering new classification models has become an effective mean for expanding rough sets, there have been lots of related research results in recent years. Among various kinds of models, the most common one is defined as: let $R$ be a tolerance relation on $U$, then

“$\mathcal{E}$ is a class, if and only if $\mathcal{E}$ is a maximal set satisfying $\mathcal{E} \times \mathcal{E} \subseteq \mathcal{R}$.”

In another way, above type of classes essentially can be understood from the perspective of relation granularity. Namely, the tolerance relation $R$ can be granulated into several sub-relations defined as follows:

**Definition 1.** Let $H \times N \subseteq R$, if $\exists H_1 \times N_1$ with $H_1 \times N_1 \subseteq R$ and $H \times N \subseteq H_1 \times N_1$, then $H \times N$ is called a sub-relation of $R$. For any sub-relation $H \times N$, if $H = N$, then $H \times N$ is called a strongly connected sub-relation; if $H \neq N$, then $H \times N$ is called a weakly connected sub-relation.

Apparently, the definition mentioned above shows $R$ can be granulated into several strongly connected sub-relations and weakly connected sub-relations. For instance, Fig. 1 is a tolerance relation graph, in which the sub-relations $1245 \times 1245$ and $2345 \times 2345$ are strongly connected, the sub-relation $245 \times 12345$ is weakly connected (here, $\{p_1, p_2, \ldots, p_6\}$ is simply as $p_1, p_2, \ldots, p_6$. Furthermore, from above definition, there exists following conclusion

- $\mathcal{E}$ is a class, if and only if $\mathcal{E} \times \mathcal{E}$ is a strongly connected sub-relation.

If problems are too complicated or solving them requires high cost or data sets have some noise, people may no longer focus on some detailed information and take exact solutions as the goal, but replace exact solutions with the feasible approximate solutions, this will surely help to simplify problems and enhance efficiency.

As one of central problems of rough set, classification problems usually require that “all” rather than “most” elements in a class are similar to each other. Obviously, when people explores approximate solutions rather than accurate ones in some problems, the condition for generating classical classes is too restrictive to some extent, this may result in too small classical classes, which perhaps seriously affect the generalization ability of classification algorithms of rough set. In the case, users possibly just require that “most” rather than “all” elements in a class are similar to each other. Therefore, to further enhance the robustness and generalization ability of rough set based on tolerance relation, the classical class mentioned above is further extended to the $\theta$-class defined in the paper. That is, a $\theta$-class $\mathcal{E}$ needs to meet the following condition:

- there exists maximal subset $L \subseteq \mathcal{E}$ satisfying

  \[ L \times \mathcal{E} \subseteq R \text{ and } |\mathcal{E}| \times \theta \leq |L| \]

  where $R$ is a tolerance relation on $U$, $0 \leq \theta \leq 1$. In the case, we say $L$ is the class core of $\mathcal{E}$.

Above definition just lists the condition that $\theta$-class must to meet, and it is only the summary description of $\theta$-class. For how to construct $\theta$-classes, the paper will give a detailed description in the following chapters.

In above definition, the description of $\theta$-classes does not require that “all” elements in one class are similar to each other. It just requires that “most” elements in a class are similar to each other, namely, it only requires $L \times \mathcal{E} \subseteq R$ rather than $\mathcal{E} \times \mathcal{E} \subseteq R$. Obviously, there is following fact

- for any classical class $\mathcal{E}$, there always exists a $\theta$-class $\mathcal{E}$ with $\mathcal{E} \subseteq \mathcal{E}$.

In Fig. 1, the classical classes $\{1, 2, 4, 5\}$ and $\{2, 3, 4, 5\}$ are shown in Fig. 2(a), and the $\theta$-class with $\theta = 0.6$ is shown in Fig. 2(b).

In fact, classical classes defined by people essentially correspond to strongly connected sub-relations, but $\theta$-classes constructed in the paper always correspond to weakly connected sub-relations. Based on the points discussed above, an algebraic structure can be further inferred from an information system, which can organize all hidden covers or partitions in the form of lattice structure. Furthermore, solutions to the problems are studied, such as reduction, core and dependency. In short, the method presented in the paper offers another way for expanding rough set, meanwhile, it also helps to reasonably analyze and interpret rough set from the perspective of concept lattice.

The following chapters are arranged as follows: Section 2 gives a brief introduction on concept lattice and rough set; Section 3 introduces parameters, studies the granularity of tolerance relations, expands classical classes to $\theta$-classes, and derives an one-valued formal context from an information system; Section 4 builds an algebraic structure from an information system based on concept lattice; Section 5 mainly discusses methods to deal with common problems from the perspective of concept lattice, such as attribute reduction, core and dependency; Section 6 is a brief conclusion and outlook.

### 2. Concept lattice and rough set

This section briefly introduces concept lattice and rough set, about more detailed information, please refer to [7,31].

A formal context is a triple $(G, M, I)$, where $G$ is a finite nonempty set of objects, $M$ is a finite nonempty set of attributes, $I$ is a binary relation between $G$ and $M$, namely $I \subseteq G \times M$.

**Definition 2.** In $K = (G, M, I)$, the map $\mathcal{P}(G) \rightarrow \mathcal{P}(M)$ is defined as: let $A \in \mathcal{P}(G)$, then

\[ A' = \{m \in M | (g, m) \in I, \forall g \in A \} \]

the map $\mathcal{P}(M) \rightarrow \mathcal{P}(G)$ is defined as: let $B \in \mathcal{P}(M)$, then

\[ B' = \{g \in G | (g, m) \in I, \forall m \in B \} \]
where $\mathcal{P}(G)$ and $\mathcal{P}(M)$ be power sets of $G$ and $M$ respectively.

In above definition, if $A' = B$ and $B' = A$, then we say $(A, B)$ is a formal concept. The order relationship "$\leq$" between concepts $(A_1, B_1)$ and $(A_2, B_2)$ is described as

$$(A_1, B_1) \leq (A_2, B_2) \iff A_1 \subseteq A_2 \land B_1 \supseteq B_2$$

In essence, $(\mathcal{P}(K), \leq)$ is a complete lattice, where $\mathcal{P}(K)$ is the set of all concepts in $K$. Meanwhile, there exist following conclusions as follows

**Proposition 1.** Let $(G, M, I)$ be a formal context, $A, A_1, A_2 \subseteq G$, $B, B_1, B_2 \subseteq M$, then

1. $A_1 \subseteq A_2 \Rightarrow A'_1 \subseteq A'_2$
2. $B_1 \subseteq B_2 \Rightarrow B'_1 \subseteq B'_2$
3. $A \subseteq A''$; $B \subseteq B''$
4. $A' = A''$; $B' = B''$

**Proposition 2.** Let $K = (G, M, I)$ be a formal context, $B \subseteq M$, then

$$B'' = \cap \{ T \in \mathcal{V} | B \subseteq T \}$$

where $\mathcal{V}$ is the set of intents of all concepts in $K$.

Normally, an information system is formalized as a quadruple $(U, AT, V, f)$, where $U$ called universe is a finite nonempty set of objects, $AT$ is a finite nonempty set of attributes, $V = \bigcup_{m \in AT} V_m$ with $V_m$ called the domain of attribute $m$, $f: U \times AT \rightarrow V$ is a function, that is, $f(x, m) \in V_m$ for any $x \in U, m \in AT$. In the following, $(U, AT, V, f)$ is simplified as $(U, AT)$.

In an information system $(U, AT)$, there always exists a binary relation $R_B$ for any $B \subseteq AT$. In this case, let $m \in B$, if $R_B \neq R_{B \setminus m}$, then we say $m$ is indispensable in $B$, and $core(B)$ consisted of all indispensable attributes in $B$ is called the core of $B$; let $B, D \subseteq AT$, $B \subseteq D$, if $B$ is a minimum-subset satisfying $R_B = R_D$, then we say $B$ is a reduction of $D$; let $B, C \subseteq AT$, if $R_B \subseteq R_C$, then we say $B \rightarrow C$ is a dependency.

### 3. One-valued formal contexts derived from information systems

It is known to us that Pawlak’s classical rough set is established on the basis of equivalence relation and used to process only nominal attributes. However, some common data sets always contain numeric attributes (the domain of attribute is a real number set or a subset of the real number set) in actual applications. In the case, the classical rough set obviously has some limitations. Specially, for any numeric attribute, it is rare that different objects possess completely identical attribute values. And further, too small equivalence classes formed through equivalence relation would seriously affect the generalization ability of classification algorithms. To address above problem, many scholars take information systems containing numeric attributes as research background, and expand equivalence relations to tolerance relations.

**Table 1** is a typical information system, where $a$ and $d$ are nominal attributes, $b$ and $c$ are numeric attributes. In fact, for any numeric attribute $m$, there are great research findings have been made to obtain the tolerance relation $I_m$ on $V_m$. For example, a simple method is described as

Let $|V_m| = n$, for any $v_i, v_j \in V_m$, if $\text{sim}(v_i, v_j) \geq \delta_m$, then $(v_i, v_j) \in I_m$, where

$$\text{sim}(v_i, v_j) = 1 - \frac{|v_i - v_j|}{\max\{v_1, v_2, \ldots, v_n\}}, \quad 0 \leq \delta_m \leq 1$$

Since the solution procedure for generating tolerance relations is not the focus of the paper, we will not elaborate on how the tolerance relation $I_m$ on $V_m$ is obtained, that is, $I_m$ is directly given in the paper.

#### 3.1. Concept scales and concept scaling

Normally, the classical concept lattice is always used to study one-valued formal contexts, but information systems are many-valued formal contexts essentially. Therefore, to study information
systems with operators in Definition 2, we should transform information systems into one-valued formal contexts. Recently, there have been many ways of transforming an information system into an one-valued context, such as concept scaling technology and logic scaling technology. In the paper, we select the former.

The basic idea of concept scaling is to transform an information system into an one-valued formal context via concept scales. Each attribute corresponds to one concept scale, and different attributes may correspond to different concept scales. The so-called concept scale of an attribute can be viewed as the interpretation or detailed description of the domain of attribute. That is, for any attribute m, the corresponding concept scale essentially embodies the relationship among different values in V_m. Concept scaling can be simply understood as the strategy for transforming an information system into an one-valued formal context on the basis of these concept scales. The definition of concept scales and the selection of concept scaling strategies directly determine the one-valued formal context transformed. In fact, in the whole process of transformation, concept scales only play the intermediary role, rather the final derivative context.

Definition 3. In S = (U, AT), we say S_m = (G_m, M_m, I_m) is a concept scale of m ∈ AT, if V_m ⊆ G_m.

The definition above only requires V_m ⊆ G_m, without any more restrictions on G_m and M_m. In order to meet similar situations, other reasonable restrictions should be considered as well to construct S_m.

In Definition 3, if G_m = M_m = V_m, then S_m is called a relation-concept scale. Let S_m be a relation-concept scale, if I_m is an equivalence relation on V_m, then we say S_m is an equivalence scale; if I_m is a tolerance relation on V_m, then S_m is called a tolerance scale. For instance, for the numeric attributes b and c in Table 1, the corresponding tolerance scales S_b and S_c are shown in Table 2; for the nominal attribute a (or d), the corresponding equivalence scale S_a (or S_d) is shown in Table 3. In above tables, if the crossing of v ∈ V_m row and w ∈ V_m column is denoted as " × ", then it means (v, w) ∈ I_m.

In fact, there are many different ways of concept scaling, this means that we can flexibly carry out concept scaling according to characteristics of information systems and the corresponding domain knowledge. In the paper, a relatively simple method for concept scaling (called for short as plain scaling) is adopted, which is formalized as follows.

In S = (U, AT), let S_m = (V_m, V_m, I_m) be the relation-concept scale of attribute m, then for any (x, y) ∈ U × U and m ∈ AT, by the transformation rule

\[(x, y, m) \in f \Leftrightarrow (w, v) \in I_m, f(x, m) = w, f(y, m) = v\]

S can be converted to an one-valued formal context

\[K_S = (U \times U, AT, f)\]

K_S is called the derivative context of S. In the paper, from the whole transformation process shown in Fig. 3, we can see that the concept scales and concept scaling play significant roles.

In the above transformation, for any values v, w ∈ V_m, the concept scale can filter out there own information, but care about whether they meet (w, v) ∈ I_m. Namely, S_m is essentially an one-valued formal context, which only remains the relationship among different values of V_m in the form of one-valued formal context.

It is worth to mention that concept scale is not only a simple transformation tool, may also contribute to solve actual problems more scientifically and rationally. It is known that classical rough set always does not require additional prior knowledge during the procedure of data analysis and processing, this is an advantage, but is also its shortcoming, that is, when dealing with data that requires additional information or knowledge, classical rough set will be helpless. For instance, in classical rough set, for an attribute "blood pressure", the corresponding attribute values "low", "high" and "very high" are normally independent of another one by default, namely, there is no relationship between above attribute values. In the case, the corresponding concept scale is shown in Table 4. However, there may exist relationship between "high" and "very high" in many applications, namely, if one patient’s blood pressure is "very high", then it must be "high". In the case, the corresponding concept scale is shown in Table 5. Obviously, by means of concept scales, we can introduce some prior knowledge to the final derivative context, rather than the simple transformation, and then the actual problems can be solved more reasonably based on concept lattice. The related content mentioned-above will be one of the focus of our next research.

Table 2
Tolerance scales S_b and S_c relative to b and c separately.

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Table 3
The equivalence scale S_d relative to a.

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Table 4
A concept scale of an attribute without any prior knowledge.

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<th>low</th>
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Table 5
A concept scale of an attribute with some prior knowledge.

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3.2. Granularity of tolerance relations and variable precision concept scales

In fact, we can view the tolerance scale \( S_m = (V_m, V_m, I_m) \) as a relation graph, where \( V_m \) denotes the set of all vertexes, and \( I_m \) is the set of all undirected edges. For instance, \( S_4 \) shown in Table 2 (b) can be interpreted as the undirected graph shown in Fig. 4. Clearly, in the worst case, if \( |V_m| = n \), then \( |I_m| = n \times (n - 1)/2 \). It is obvious that a bigger \( n \) indicates massive edges, and then eventually resulted in a huge and complicated graph. This not only brings about higher time and space complexity for further computing and solving problems, but also does not help to further understanding the schematic configuration of graph on macroscopic view. In the case, to better understand and solve problems rather than get lost in unnecessary details, the paper presents a new method based on the granularity of \( V_m \), in which the granularity of \( I_m \) plays an important role.

When processing large scope and complicated information, people, due to their limited cognitive capability, usually divide the complicated information into several simple blocks in terms of its characteristics and performance so as to better analyze and solve actual problems. Each block is always considered as a granule (or a class). In fact, the granularity mechanism is also one major feature of human thinking. As one core problems of rough set, the information granularity always serves as the foundation of knowledge acquisition, so discovering and constructing new granularity models is an effective mean forxpanding rough set theory. For instance, the complicated tolerance relation graph shown in Fig. 4 can be granulated into several relatively simple sub-graphs shown in Fig. 5, and any sub-graph can be viewed as a class. For any sub-graph, we can see there always exists a undirected edge between any two vertexes.

Normally, classification analysis requires that “all” rather than “most” elements in one class are similar to each other. Nevertheless, in many actual applications, users possibly just require that “most” rather than “all” elements in one class are similar to each other. Therefore, to further enhance the robustness and generalization ability of rough set, this paper, with concept lattice as the theoretical foundation, discusses the classification problem in \( V_m \) by means of the granularity of \( I_m \), and further expands classical classes into \( \theta \)-classes. It is worth mentioning that the \( \theta \)-classes referred here means the classes in \( V_m \) rather than the ones in \( U \). Hereinafter, by referring to \textbf{Definition 1}, the tolerance relation \( I_m \) can be further decomposed into several strongly connected sub-relations and several weakly connected sub-relations. In essence, classical classes defined by people usually correspond to strongly connected sub-relations, but classes defined in the paper always correspond to weakly connected sub-relations.
Let $\Sigma(I_m)$ be the set of all sub-relations in $I_m$, then the following conclusion can be inferred easily.

**Theorem 1.** Let $S_m = (V_m, V_m, I_m)$ be a tolerance scale, $H, N \subseteq V_m$, then

$$(H, N) \in \mathcal{R}(S_m) \iff H \times N \in \Sigma(I_m)$$

**Proof.** The conclusion can be deduced from Definition 1 and Definition 2 immediately. □

Apparently, the theorem above shows that $\Sigma(I_m)$ can obtained by calculating $\mathcal{R}(S_m)$. Taking scales in Table 2 as examples, by means of operators in Definition 2, corresponding concept lattice structures can be calculated easily, which are shown in Fig. 6 (for convenience, any $v_i$ or $u_i$, is simplified as “i”).

**Definition 4.** In $S_m = (V_m, V_m, I_m)$, let $0 \leq \alpha \leq 1$, for any $H \times N \in \Sigma(I_m)$, the connected-degree between $H$ and $N$ is defined as

$$\phi_\alpha(H \times N) = \frac{|H \cap N|}{|H \cap N| + \alpha \times |(H - N) \cup (N - H)|}$$

In above definition, $H - N$ denotes the set of elements appearing in $H$ but not in $N$; $N - H$ denote the set of elements appearing in $N$ but not in $H$. In fact, $(H - N) \cup (N - H)$ is the different part between $H$ and $N$, and $H \cap N$ is the common part between $H$ and $N$. In addition, the parameter $\alpha$, to a given degree, reflects that the influence of the common part on the connected-degree is bigger than the different part. Obviously, the bigger common part is, the connected-degree is; on the contrary, the smaller common part is, the lower connected-degree is.

**Definition 5.** In $S_m = (V_m, V_m, I_m)$, let $0 \leq \theta \leq 1$, if $\varnothing = H \cup N$ is the maximum-subset satisfying

$$(H \cap N) \in \Sigma(I_m) \text{ and } \phi_\alpha(H \times N) \geq \theta$$

then we say $\varnothing$ is a $\theta$-class. In the case, we say $H \cap N$ is the class core of $\varnothing$.

In above definition, when $S_m = (V_m, V_m, I_m)$ is viewed as a tolerance relation graph, then the $\theta$-class $\varnothing$ can be essentially interpreted as the sub-graph $(H, N, H \times N)$ in $S_m$. In the case, $\phi_\alpha(H \times N)$ can be understood as quantitative measurement for the scale of undirected edges in the sub-graph $\varnothing$ essentially. Since it is of no practical significance to view $\varnothing$ with low connected-degree as a class, we mainly discusses $\theta$-classes with high connected-degree. Especially, if $\alpha = 1$ and $\theta = 1$, then a $\theta$-class is essentially a classical class. And further, we can obtain the conclusion that the classical class is only a special case of the $\theta$-class, which also indirectly verifies the reasonability of the classification method based on the relation granularity.

For instance, in Fig. 7, there are following sub-relations $1245 \times 1245, 2345 \times 2345, 245 \times 12345, 368 \times 368, 678 \times 678, 68 \times 3678$.

From above sub-relations we know $\{1, 2, 4, 5\}, \{2, 3, 4, 5\}, \{3, 6, 8\}, \{6, 7, 8\}$ are classical classes. By simple calculation, we can see that $\phi_\alpha(1245 \times 1245) = \phi_\alpha(2345 \times 2345) = \phi_\alpha(368 \times 368) = \phi_\alpha(678 \times 678) = 1$, $\phi_\alpha(245 \times 12345) = 0.6$, $\phi_\alpha(68 \times 3678) = 0.5$, taking $\phi_\alpha(245 \times 12345)$ as an example

$$\phi_\alpha(245 \times 12345) = \frac{|\{2, 4, 5\}|}{|\{2, 4, 5\}| + |\{1, 3\}|} = 0.6$$

when $\alpha = 1, \theta = 0.5$, since $\{3, 6, 7, 8\} \subseteq \{3, 6, 7, 8\}$ and $\{1, 2, 3, 4, 5\} \subseteq \{2, 4, 5\}$ they are the maximum-subsets satisfying the conditions in Definition 5, they are $\theta$-classes, corresponding results are shown in Fig. 8.

In order to understand $\theta$-class easily, another analytical method is given from the perspective of mutually similar classes.

**Definition 6.** Let $R$ be a tolerance relation on $U, A, A_1, A_2 \subseteq U$, we define

$$A^+ = \{x \in U \mid (x, y) \in R, \forall y \in A\}$$

if $A_1 \subseteq A_2$ and $A_2 \subseteq A_1^+$, then we say $A_1$ and $A_2$ are similar to each other; further, if $A_1 = A_2^+$ and $A_2 = A_1^+$, then we say $A_2$ is a class relative to $A_1$, and $A_1$ is a class relative to $A_2$, in the case, we say $A_1$ and $A_2$ are mutually similar classes.

In above definition, if $A_1$ and $A_2$ are mutually similar classes, then we can naturally think about whether the two classes can be merged into one new class.

**Theorem 2.** In Definition 6, the following statements are equivalent
Fig. 7. A tolerance relation graph.

Fig. 8. The $\theta$-classes in Fig. 7 with $\theta = 0.5$ and $\alpha = 1$.

3.3. One-valued formal contexts derived from information systems

This section mainly presents variable precision relation-concept scales, and finally derives an one-valued formal context from an information system.

**Proposition 3.** Let $R$ be a tolerance relation on the set $A$, then

$$R = \{ X \times X | X \in \Delta(R) \}$$

where $\Delta(R)$ is the set of all classical classes in $A$.

**Definition 7.** Let $S_m = (V_m, V_m, I_m)$ be a tolerance scale, the corresponding $\theta$-tolerance scale is defined as

$$S_m^{\theta} = (V_m, V_m, I_m^{\theta})$$

in $S_m^{\theta}$, $I_m^{\theta}$ is described as

$$I_m^{\theta} = \{ H \times H | H \in \Delta^{\theta}(I_m) \}$$

where $\Delta^{\theta}(I_m)$ is the set of all $\theta$-classes in $V_m$.

**Theorem 3.** From above discussion, it is obvious that $I_m \subseteq I_m^{\theta}$ is true.

For example, in Table 2, $\theta$-tolerance scales with respect to numeric attributes $b$ and $c$ are shown in Table 6, where $\alpha = 1$ and $\theta = 0.5$.

**Definition 8.** Let $S_m^{\theta} = (V_m, V_m, I_m^{\theta})$ be the $\theta$-tolerance scale of $m \in AT$, then an one-valued formal context transformed from $S = (U, AT)$ is defined as

$$K_S^{\theta} = (U \times U, AT, J_0)$$

where $J_0$ is described as

$$(x, m) \in J_0 \leftrightarrow (w, v) \in I_m^{\theta}, f(x, m) = w, f(y, m) = v$$

$K_S^{\theta}$ is called the derivative context of $S$. 
Table 6
\[\begin{array}{cccccccccccc}
\text{u}_1 & \times & \times & \times & \times & \times & \times & \times \\
\text{u}_2 & \times & \times & \times & \times & \times & \times & \times \\
\text{u}_3 & \times & \times & \times & \times & \times & \times & \times \\
\text{u}_4 & \times & \times & \times & \times & \times & \times & \times \\
\text{u}_5 & \times & \times & \times & \times & \times & \times & \times \\
\text{u}_6 & \times & \times & \times & \times & \times & \times & \times \\
\text{u}_7 & \times & \times & \times & \times & \times & \times & \times \\
\text{v}_1 & \times & \times & \times & \times & \times & \times & \times \\
\text{v}_2 & \times & \times & \times & \times & \times & \times & \times \\
\text{v}_3 & \times & \times & \times & \times & \times & \times & \times \\
\text{v}_4 & \times & \times & \times & \times & \times & \times & \times \\
\text{v}_5 & \times & \times & \times & \times & \times & \times & \times \\
\text{v}_6 & \times & \times & \times & \times & \times & \times & \times \\
\text{v}_7 & \times & \times & \times & \times & \times & \times & \times \\
\text{v}_8 & \times & \times & \times & \times & \times & \times & \times \\
\end{array}\]

Table 7
<table>
<thead>
<tr>
<th>a</th>
<th>b</th>
<th>c</th>
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<td>(2,6)</td>
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<tr>
<td>(2,8)</td>
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</table>

By referring to \(l_a\) and \(l_b\) shown in Table 3, and \(l'_a\) and \(l'_b\) shown in Table 6, then an one-valued formal context shown in Table 7 can be derived from Table 1.

4. Variable precision rough set based on \(\theta\)-concept lattice

Concept lattice, or Galois lattice, as the core data structure in formal concept analysis theory, is a kind of powerful data analysis tool. In this section, an algebraic structure is derived from an information system, which is called \(\theta\)-concept lattice.

Let \(R\) be a tolerance relation on \(U\), then the corresponding cover is denoted as \(U/R\). Let \(U/R_1\) and \(U/R_2\) be covers of \(U\), for any \(x \in U/R_1\), if there always exists \(y \in U/R_2\) satisfying \(x \subseteq y\), then we say \(U/R_2\) is coarser than \(U/R_1\), which is denoted as \(U/R_1 \subseteq U/R_2\). In the case, we can easily find the following conclusion:

\(R_1 \subseteq R_2\), if and only if \(U/R_1 \subseteq U/R_2\)

It is known that there always exists a binary relation \(R_B\) for any \(B \subseteq AT\) in an information system \((U, AT)\). In the paper, by means of \(S_{\theta_B}\), \(m \in B\), the corresponding tolerance relation on \(U \) relative to \(B\) is defined as

\[R_B^\theta = \{(x, y) \in U \times U | m \in B, (v, w) \in I^\theta, f(x, m) = v, f(y, m) = w\}\]

In the derivative context \(K_B^\theta\), operators can be defined similar to the ones in Definition 2, that is, for any \(R \subseteq U \times U\), we define \(R' = \{m \in AT | ((x, y), m) \in J_D, \forall (x, y) \in R\}\)

correspondingly, for any \(B \subseteq AT\), we define \(B' = \{(x, y) \in U \times U | ((x, y), m) \in J_D, \forall m \in B\}\)

if \(R' = B\) and \(B' = B\), then \((U/R, B)\) is called a \(\theta\)-concept. For any \(\theta\)-concepts \((U/R_1, B_1)\) and \((U/R_2, B_2)\), we define

\((U/R_1, B_1) \triangleq (U/R_2, B_2) \iff R_1 \subseteq R_2 \iff U/R_1 \subseteq U/R_2 \iff B_2 \subseteq B_1\)

Drawing on the above discussion, it is obvious that \((\mathcal{A}(S_\theta), \triangleq)\) is a complete lattice, which is called \(\theta\)-concept lattice, where \(\mathcal{A}(S_\theta)\) is the set of all \(\theta\)-concepts. And further, the following conclusions can be immediately.

**Theorem 4.** In \(K_B^\theta = (U \times U, AT, J_D)\), let \(B \subseteq AT\), then \(B' = R_B^\theta\).

**Theorem 5.** In \(K_B^\theta = (U \times U, AT, J_D)\), let \(B \subseteq AT\), \(R \subseteq U \times U\), then \((R, B) \in \mathcal{A}(K_B^\theta) \iff (U/R, B) \in \mathcal{A}(S_\theta)\)

Essentially, as an important algebraic structure in \((U, AT)\), \((\mathcal{A}(S_\theta), \triangleq)\) can organize all hidden covers or partitions of \(U\) in the form of lattice structure. There is an indirect way to generate \((\mathcal{A}(S_\theta), \triangleq)\), namely, generating \(\mathcal{A}(K_B^\theta)\) by means of classical lattice generating algorithms firstly; secondly, for any concept \((R, B) \in \mathcal{A}(K_B^\theta)\), we replace it by \((U/R, B) \in \mathcal{A}(S_\theta)\), then the replaced lattice is \((\mathcal{A}(S_\theta), \triangleq)\).

In Table 1, when \(\alpha = 1\) and \(\theta = 1\), then the corresponding \((\mathcal{A}(S_\theta), \triangleq)\) is shown in Fig. 10, when \(\alpha = 1\) and \(\theta = 0.5\), then the one is shown in Fig. 11. For convenience, any cover or partition \(U/R = \{P_1, P_2, \ldots, P_l\}\) in figures is simplified as \(P_1, P_2, \ldots, P_l\), meanwhile, \(P_l = \{u_1, u_2, \ldots, u_n\}\) is simplified as \(u_1 u_2 \ldots u_n\).

**Theorem 6.** In \(K_B^\theta = (U \times U, AT, J_D)\), let \(B, D \subseteq AT\), if \(B' = D\), then \(U/R_B = U/R_D\), where \((U/R_D, D) \in \mathcal{A}(S_\theta)\).
From above theorem, by means of $\theta$-concept, the lower approximation of $X \subseteq U$ relative to $B \subseteq AT$ is defined as

$$\bar{B}(X) = \bigcup_{P \in U/R_D \text{ and } P \subseteq X} P$$

correspondingly, the upper approximation of $X$ relative to $B$ is

$$\overline{B}(X) = \bigcup_{P \in U/R_D \text{ and } P \cap X \neq \emptyset} P$$

where $D = B''$ and $(U/R_D, D) \in \mathcal{R}(S_D)$.

Kang et al. have studied the similar problem, namely inducing a lattice structure from an information system [15]. However, this method is always used to process information systems only containing nominal attributes. For instance, based the method in above literature, a concept lattice shown in Fig. 12 can be derived from Table 8, and the corresponding extent of any lattice node is essentially a partition. Comparing with the method in [15], the one presented in the paper not only can obtain the same conclusion from Table 8, but also is valid for information systems containing numeric attributes, the corresponding extent of any lattice node can be a partition or a cover.

Based on above discussions, we can see that the method in the literature [15] is only a special case of the method proposed in the paper essentially.
It is known that information granules, lower and upper approximations are core factors in rough set. In the classical variable precision rough set, the so-called variable precision means approximations are changed by introducing a parameter, rather than information granules are changed. However, in the paper, the variable precision means the information granules are changed by introducing a parameter. Clearly, there exist some differences between two methods, but when people explore approximate solutions rather than accurate ones in some problems, they both contribute enhancing the robustness and generalization ability of rough set.

5. Reduction, core and dependency in information systems

This section offers concept lattice-based solutions to common problems in rough set such as reduction, core and dependency. For above problems, the paper present some relatively simple solutions based on intents of \( \theta \)-concepts.

In the following theorems, the set of intents of all \( \theta \)-concepts is denoted as \( \mathcal{I}_\theta \).

**Theorem 7.** In \( K_\theta^0 = (U \times U, AT, J_\theta) \), let \( m \in B \subseteq AT \), then \( m \in \text{core}(B) \), if

\[
B \notin \cap \{ T \in \mathcal{I}_\theta | (B - m) \subseteq T \}
\]

**Proof.** Since \( B \notin (B - m)^\prime \) can be deduced from Proposition 2 and \( B \subseteq B'^\prime \), \( B' \notin \cap (B - m)^\prime \). This implies \( B'^\prime \neq (B - m)^\prime \). And further, based on \( B'^\prime \neq (B - m)^\prime \Rightarrow B' \neq (B - m)^\prime \). \( R_B^D \neq R_B^D \) can be inferred by Theorem 4 easily. Therefore, \( m \in \text{core}(B) \) holds.

**Theorem 8.** In \( K_\theta^0 = (U \times U, AT, J_\theta) \), let \( C \subseteq B \subseteq AT \), if \( C \) is the minimum-subset satisfying

\[
B \subseteq \cap \{ T \in \mathcal{I}_\theta | C \subseteq T \}
\]

then \( C \) is a reduction of \( B \).

**Proof.** From Proposition 2, it is not hard to see that \( B \subseteq C'' \) holds. And further, we get \( B'' \subseteq C'' \). In addition, \( C'' \subseteq B'' \) can be inferred by \( C \subseteq B \) easily. Therefore, \( C'' = B'' \) is true. Since \( C'' = B'' \Rightarrow C'' = B'' \Rightarrow R_B^D = R_C^D \) and \( C \) is the minimum-subset satisfying \( R_B^D = R_C^D \). \( C \) is a reduction of \( B \).

**Theorem 9.** In \( K_\theta^0 = (U \times U, AT, J_\theta) \), let \( B, C \subseteq AT \), then \( B \rightarrow C \), if \( C \subseteq \cap \{ T \in \mathcal{I}_\theta | B \subseteq T \} \)

**Proof.** We can see that \( C \subseteq B' \) holds by Proposition 2, then \( B'' \subseteq C \Rightarrow B' \subseteq C \) can be deduced. And further, \( R_B^D \subseteq R_C^D \) can be inferred by Theorem 4 easily. Obviously, this implies \( B \rightarrow C \) holds.

**Definition 9.** Let \( (G, M, I) \) be a formal context, \( B, D \subseteq M \). If \( B' \subseteq D' \), then we say \( B \rightarrow D \) is an implication.

In fact, from the conclusion \( R_B^D \subseteq R_D^D \Rightarrow B' \subseteq D' \), we can see that an implication in \( K_\theta^0 \) is essentially a dependency.

**Theorem 10.** Let \( B \rightarrow D \) be an implication in \( K_\theta^0 \), if \( B \subseteq B_1 \) and \( D \subseteq D_1 \), then \( B_1 \rightarrow D_1 \).

**Proof.** It is obvious that \( B' \subseteq D' \) can be inferred from \( B \rightarrow D \). In addition, \( B_1' \subseteq B' \) and \( D_1' \subseteq D' \) can be deduced by \( B \subseteq B_1 \) and \( D \subseteq D_1 \). Hence \( B_1' \subseteq D_1' \) is true, that is, \( B_1 \rightarrow D_1 \) holds.
We know that dependency, as a kind of special rule-type knowledge, is a common knowledge expression form for its advantages such as strong description and easy understanding. Concept lattice can organize data in the form of lattice, can manifest the “generalization and specialization” relations among concepts, so it is suitable to discover rules. In fact, \( \mathcal{R}(S_0), \prec \), as a kind of special concept lattice, is suitable for mining rule-type knowledge as well. Although concept lattice can be applied to mining dependencies, the number of dependencies acquired is big, and there are a mass of redundant dependencies. In the case, we can further eliminate some common redundant dependencies by means of some inference-rule, and finally obtain a smaller set of dependencies. At present, there have been many findings on inference-rule. In the following paper, we chose a relatively easy inference-rule, which is deduced from Theorem 10.

**Inference-rule:** If \( B \subseteq B_1 \) and \( D_1 \subseteq D \), then \( B_1 \rightarrow D_1 \) can be inferred from \( B \rightarrow D \). In fact, the inference-rule can also be represented as

\[
B \subseteq B_1, \ D_1 \subseteq D, \ \Rightarrow \ B_1 \rightarrow D_1
\]

Inspired by previous research results [15,21], we define core dependencies as follows:

**Definition 10.** In \( K_{\alpha}^{\theta} = (U \times U, \prec, J_\theta) \), let \( B \subseteq AT \), if \( D \) is a reduction of some subset in \( AT \), then we say \( D \rightarrow D^* \) is a core dependency.

**Theorem 11.** For any \( B \rightarrow C \), if \( D \) is a reduction of \( B \), then \( B \rightarrow C \) can be inferred from \( D \rightarrow D^* \) by means of the inference-rule.

**Proof.** Since \( D \) is a reduction of \( B, D \subseteq B \) and \( R^0_\alpha = R^0_\theta \Rightarrow B^* = D^* \) can be obtained easily. In addition, \( B^* \subseteq C^* \) can be deduced from \( B \rightarrow C \). Therefore \( D^* \subseteq C^* \) holds, and then \( C^* \subseteq D^* \) can be obtained. Together with \( C \subseteq C' \) we get \( C \subseteq D^* \). In the case, we can see that \( D \rightarrow D^* \) satisfies \( D \subseteq B \) and \( C \subseteq D' \). Obviously, \( B \rightarrow C \) can be inferred from \( D \rightarrow D^* \) by the inference-rule. \( \square \)

In above theorem, since \( D \) is a reduction of \( B \), \( D \rightarrow D^* \) is a core dependency. It is not hard to see that any \( B \rightarrow C \) can be inferred from the dependencies by the inference-rule.

Based on the points discussed above, we can provide users with a small set of dependencies, and users can selectively derive other dependencies from the core dependencies according to their interests. For instance, in Table 1, when \( \alpha = 1, \theta = 1 \), then the set of all core dependencies is shown in Table 10; when \( \alpha = 1, \theta = 0.5 \), then the set of all core dependencies is shown in Table 11. When \( \alpha = 1, \theta = 0.5 \), taking \( abd \rightarrow c \) as an example, we know \( \{a, d\} \) is a reduction of \( \{a, b, d\} \), and \( \{a, d\} = \{a, b, c, d\} \), since \( \{a, d\} \subseteq \{a, b, d\} \) and \( \{c\} \subseteq \{a, b, c, d\} \), \( \{a, b, d\} \rightarrow \{c\} \) can be inferred from \( \{a, d\} \rightarrow \{a, b, c, d\} \) by the inference-rule.

### Table 10

<table>
<thead>
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<th>( b \rightarrow b )</th>
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<th>( \theta \rightarrow \theta )</th>
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### Table 11

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<td>( c \rightarrow c )</td>
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6. **Summary and outlook**

Concept lattice is a kind of mathematical tool for data analysis and processing. As two mathematical branches generated in the same era, concept lattice and rough set vary from each other in their research methods, but the same research background and objective indicate that they must have something in common. Constructing the connection between two theories via their respective advantages is conducive to abstracting a more general and universal data analysis framework. In the case, the paper brings concept lattice into rough set with a view to its advantages such as outstanding mathematical property, intuitive lattice structure, abundant semantics of concepts, and so on.

To further enhance the robustness and generalization ability of rough set based on the tolerance relation, this paper, by mean of the granularity of tolerance relation, expands classical classes to \( \theta \)-classes, which actually just requires “most” rather than “all” elements in one class are similar to each other. The so-called granularity of tolerance relation means that the tolerance relation can be decomposed into several strongly connected sub-relations and several weakly connected sub-relations. And further, the paper emphatically probes the \( \theta \)-concept lattice \( \mathcal{R}(S_0), \prec \), inferred from an information system. As an important algebraic structure, \( \mathcal{R}(S_0), \prec \) can organically organize all covers or partitions of \( U \) in the form of lattice structure. Meanwhile, the paper also offers solutions based concept lattice to common problems in rough set, such as attribute reduction, core and dependency.

Both theories and examples demonstrate that the conclusion of the paper is reasonable and valid. Obviously, introducing concept lattice into the study of rough set is an effective means to expand rough set. In short, the paper not only can be viewed as an useful exploration and attempt for the fusion study of the two theories, but also offers a new idea for the expansion of rough set. Furthermore, it also helps to reasonably analyze and interpret rough set from the perspective of concept lattice. Although the paper has proposed some significant theoretical findings, these findings have to be further supplemented and improved. Our research focuses in the next step will include how to further apply concept lattice to deal with more complicated information systems, how to effectively reduce the time complexity and space complexity in the process of knowledge acquisition based on concept lattice, and how to further improve the fusion theory of two theories and finally abstract a more common and universal data analysis tool, etc.

### References
