Three-way decision with co-training for partially labeled data

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| 1 | Three-way decision with co-training for partially   |
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## 14 Abstract

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The theory of three-way decision plays an important role in decision making 15 and knowledge reasoning. However, little attention has been paid to the 16 problem of learning from partially labeled data with three-way decision. In this 17 paper, we propose a three-way co-decision model for partially labeled data. 18 More specifically, the problem of attribute reduction for partially labeled data 19 is first investigated, and two semi-supervised attribute reduction algorithms 20 based on novel confidence discernibility matrix are proposed. Then, a three-21 way co-decision model is introduced to classify unlabeled data into useful, 22 useless, and uncertain data, and the model is iteratively retrained on the 23 carefully selected useful data to improve its performance. Moreover, we 24 theoretically analyze the effectiveness of the proposed model. The 25 experimental results conducted on UCI data sets demonstrate that the 26 proposed model is promising, and even compares favourably with the single 27 supervised classifier trained on all training data with true labels. 28

Keywords: Three-way decision, semi-supervised reduct, confidence discernibi lity matrix, co-decision, partially labeled data

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32 1. Introduction

The theory of rough sets [23] is an effective tool for handling vague, uncertain, or imprecise data. Since the pioneering work of Pawlak [22], several extended and generalized models have been proposed, such as neighbourhood rough sets [10, 45], covering rough sets [15, 42], fuzzy rough sets [4, 6], probabilistic rough sets [31, 32], and others [46]. Among them, threeway decision [30], proposed by Yao [33, 41], is one of the most popular and

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39 efficient models for decision-making. Despite originating from probabilistic 40 rough sets [33], the research and development of three-way decision have gone 41 beyond the realm of rough sets and become the methodology and philosophy 42 for thinking in threes [35, 36, 38, 40, 47]. Due to the universality and 43 effectiveness, three-way decision has been introduced to many research 44 domains, such as attribute reduction [43], conflict analysis [37], formal concept 45 analysis [39], etc. Decision-theoretic rough sets (referred to as DTRS hereafter) 46 [42], as a representative paradigm of three-way decision, generalizes the Pawlak 47 rough sets by introducing the theory of Bayesian risk decision. In DTRS, binary 48 decisions with options "yes" and "no" are extended into triple decisions, i.e., 49 "yes", "no", and "wait-to-see". Moreover, DTRS provides a unified and 50 comprehensive framework for rough sets and exhibits the salient characteristics 51 and advantages in probabilistic reasoning and semantic interpretation [34].

52 Both DTRS and other extensions of rough sets are primarily used to handle 53 either labeled data or unlabeled data. However, in many real-world applications, 54 such as web-page categorization, image retrieval, and intrusion detection [50], 55 we often confront the case where labeled data are scarce since hand-labeled 56 objects are fairly expensive to obtain, whereas unlabeled data are relatively 57 cheap and readily available. In this scenario, traditional supervised learning may 58 yield undesirable results because of the scarcity of labeled data, while 59 unsupervised learning using only unlabeled data will result in the waste of 60 valuable label information. Intuitively, a promising way is to fully capitalize on 61 both labeled and unlabeled data to train an effective learning model [48, 50].

62 For the data containing both labeled and unlabeled data (referred to as 63 partially labeled data hereafter), Lingras [14] et al. extended DTRS from two 64 classes to multiple classes and introduced semi-supervised costs for 65 promotional campaigns in real-world retail stores. Miao et al. [18] developed a 66 semi-supervised discernibility matrix and proposed a diverse semi-supervised 67 reducts-based model for partially labeled data. Dai et al. [5] employed the 68 consistent rate of objects as the fitness function to generate semi-supervised 69 reduct. Based on the concept of discernibility, Dai et al. [7] further developed 70 two attribute reduction measures for partially labeled data. Instead of 71 equivalence relation, fuzzy or neighbourhood relations-based rough set 72 models are also introduced to deal with partially labeled data. Parthalain and 73 Jensen [21] employed the unlabeled objects contained in the fuzzy lower 74 approximation of all decision classes to retrain the model iteratively and 75 presented a fuzzy rough set-based self-training model for partially labeled data. 76 Wang et al. [29] used Gaussian kernel-based fuzzy rough set to measure the 77 inconsistency of unlabeled objects and proposed a SVM-based sample 78 selection algorithm for active learning. Jensen et al. [11] presented a semi-79 supervised fuzzy rough attribute reduction method, in which the fuzzy 80 dependency degree on both labeled and unlabeled data was used to measure 81 the quality of attribute subsets. To deal with numerical data, Liu et al. [16] 82 introduced а weighted neighbourhood approximate quality and 83 neighbourhood granules for partially labeled data. Further, they [17] used a 84 graph-based semi-supervised method to yield the pseudo labels of all 85 unlabeled data, and local neighbourhood decision error rates under different

decision classes were combined to measure the significance of attributes. Li et 86 87 al. [13] provided a semi-supervised attribute reduction method for partially 88 labeled data with numerical attributes, where conditional neighbourhood 89 granulation and neighbourhood granulation were used to measure the 90 significance of attributes on labeled data and unlabeled data, respectively. By 91 integrating cost-sensitive learning and three-way theory, Min et al. [19] 92 proposed an active learning algorithm for classification. Qian et al. [24, 25] 93 presented several local rough set models for big data with limited labels and 94 provided some efficient local attribute reduct algorithms based on local lower 95 approximation. In addition, the theory of rough sets has also been successfully 96 applied to practical problems with partially labeled data [12, 26].

97 The aforementioned works mainly concentrate on rough sets-based semi-98 supervised attribute reduction or practical applications. Little attention has 99 been paid for the semi-supervised rough set model to learn directly from both 100 labeled and unlabeled data. On the one hand, the utilization of unlabeled data 101 is a key problem of semi-supervised learning model, and unlabeled data may 102 contain noisy or useless objects, which have a negative effect on the learning 103 model. To guarantee the performance of semi-supervised learning model, it is 104 vital and necessary to develop an appropriate and effective mechanism to 105 select useful unlabeled objects. On the other hand, decision-making under 106 uncertainty often results in different costs or risks. The selection of unlabeled 107 objects should take into consideration the cost or risk of decision. Motivated 108 by the above facts, we propose a three-way decision-based semi-supervised 109 model for partially labeled data. The main contribution of this paper is threefold.

110 (1) To address the problem of attribute reduction for partially labeled data, 111 we develop the concept of confidence discernibility matrix, based on which a 112 heuristic algorithm is designed to yield the optimal reduct of partially labeled 113 data. The confidence discernibility matrix takes into consideration both labeled 114 and unlabeled data and allows a certain degree of inconsistency, thus resulting 115 in better adaptability and robustness for partially labeled data. In addition, we 116 prove several propositions about the confidence discernibility matrix, which 117 provide the theoretical basis for semi-supervised attribute reduction.

118 (2) To exploit unlabeled data efficiently, we design a three-way co-decision 119 model for partially labeled data. The unlabeled objects to use have a 120 considerable effect on the performance of the learning mode. Three way-121 decision is an effective method for decision making under uncertainty and risk. 122 We thus introduce the theory of three-way decision to conduct the selection of 123 useful unlabeled data. Moreover, motivated by the idea of co-training [2], the 124 collaborative decision framework using two distinct semi-supervised reducts is 125 adopted, which could make the classifiers of the model learn from each other. 126 By incorporating the theory of three-way decision with the mechanism of co-127 training, the co-decision model could make full use of unlabeled data to 128 improve its performance.

(3) To gain a deep insight into the proposed model, we theoretically analyze
 the model from the perspective of noise learning and give the upper bound on
 the number of exploitable unlabeled data. Additionally, extensive experiments
 are performed to test the effectiveness of the proposed model, and promising

results are achieved, indicating the potential of the proposed model for partiallylabeled data.

The rest of this paper is organized as follows. Section 2 presents some concepts in semi-supervised learning and three-way decision, respectively. Section 3 describes the proposed co-decision model for partially labeled data, and its effectiveness is also theoretically analyzed. Experimental results and analysis are shown in Section 4. Finally, Section 5 concludes the paper and indicates future research work.

## 141 2. Preliminaries

142 This section will briefly review some concepts related to semi-supervised 143 learning and three-way decision. More details about these theories can be 144 found in [32-41, 50].

145 2.1. Semi-supervised learning

146 In semi-supervised learning, we are provided with a partially labeled data 147  $U = L \cup N$  with l + n objects described by m-dimensional attributes, where l 148 number of labeled objects  $L = \{x_i, y_i\}_{i=1}^{l}$  are labeled and *n* number of unlabeled 149 objects  $N = \{x_i, ?\}_{i=l+1}^{l+n}$  ( $l \ll n$ ) are unlabeled. In the context of semi-supervised 150 learning, we can, on the one hand, use labeled data to enhance the quality of 151 unsupervised clustering, called semi-supervised clustering [50]. On the other 152 hand, unlabeled data can be utilized to improve the performance of the 153 supervised models that learn only from labeled data, called semi-supervised 154 classification or regression [49]. The detailed description of these methods 155 could refer to [28, 50]. In this paper, we only focus on semi-supervised 156 classification.

157 Semi-supervised classification aims at using a large amount of unlabeled 158 data to aid the training of supervised models when labeled data at hand are 159 scarce. Roughly speaking, semi-supervised classification can be further 160 categorized into generative methods, low-density separation methods, graph-161 based methods, and disagreement-based methods [28]. Co-training [2, 3] is 162 one of the most popular multi-view models and has been applied to many 163 practical problems successfully. Standard co-training assumes that each object 164 can be described by two sufficient and redundant attribute subsets (views). On 165 each attribute subset, a base classifier is first trained on initial labeled data. By 166 labeling the most confident unlabeled objects to their counterparts, the two 167 base classifiers learn from each other iteratively and are retrained on their 168 enlarged training sets to improve the performance.

169 Unfortunately, in practical applications, it is difficult to meet the assump-170 tion of two naturally partitioned attribute subsets in co-training. Although some 171 compromise solutions have been proposed, such as random subspace, 172 resampling, and heterogeneous algorithms [28], it is still an open question on 173 how to split a natural attribute set into two attribute subsets. Furthermore, the 174 performance of co-training is highly related to the quality of unlabeled data 175 used in the learning process. In standard co-training, the highly confident 176 objects are usually selected to enlarge the training sets of base classifiers, and 177 the evaluation criteria for confident objects, such as classification accuracy,

cross-validation, majority voting, and data editing, are often used [28]. However,
 these criteria do not consider the misclassification cost of unlabeled objects. It
 seems unreasonable when different decisions have different misclassification
 costs.

182 2.2. Three-way decision

The theory of three-way decision is a methodology for decision-making with the alternatives of acceptance, rejection, and noncommitment. Decisiontheoretic rough sets (DTRS), as an extension of rough sets, is one of the most popular models in three-way decision and has witnessed a rapid growth of interest in theory and applications [32-39, 41]. In what follows, we will review some related concepts about DTRS.

189 In DTRS, the data to deal with is called an information system [23] and is 190 denoted as IS = (U,A,V,f), where U is the set of objects, called the universe; V 191 is the set of attributes to describe the objects; V is the union of attribute domains such that  $V = \bigcup V_a$  for  $a \in A$ , where  $V_a$  denotes the domain of the 192 193 attribute a; and f is an information function that associates each attribute of an 194 object belonging to U with a unique value such that  $f(x, a) \in V_a$  for each  $x \in U$ 195 and  $a \in A$ . The information system is also called a decision information system 196 or decision table if the attribute set A can be further divided into the condition 197 attribute set C and the decision attribute set D [23].

For an attribute subset *B* of *A*, it partitions the universe *U* into a family of equivalence classes *U/B*. An equivalence class containing *x* is denoted as  $[x]_B$ and is referred to as *B*-elementary set or *B*-elementary granule [23]. Let *X* be a subset of the universe *U*, the lower approximation <u>*B*(X)</u> and the upper approximation  $\overline{B}(X)$  with respect to *B* are defined as [23]:

$$\frac{\underline{B}(X)}{\overline{B}(X)} = \{ x \in U_{|}[x]_{B} \subseteq X \},\$$

$$\overline{\overline{B}}(X) = \{ x \in U_{|}[x]_{B} \cap X \neq \emptyset \}.$$
(1)

The *B*-lower approximation of *X* is also called the *B*-positive region  $POS_B(X)$ of *X* over *U*. The set-theoretic difference of the *B*-upper and *B*-lower approximations is called the *B*-boundary region  $BND_B(X)$  of *X* over *U*, i.e.,  $BND_B(X) = \overline{B}(X) - \underline{B}(X)$ . The universe after removing the objects in the *B*upper approximation is called the *B*-negative region  $NEG_B(X)$  of *X* over *U*, i.e.,  $NEG_B(X) = U - \overline{B}(X)$ .

209 Let  $U/D = \{Y_1, Y_2, ..., Y_{|U/D|}\}$  be the partition induced by the decision attribute 210 *D* over *U*. The positive, boundary, and negative regions of *D* with respect to *C* 211 are defined as [23]:

$$POS_{C}(D) = \bigcup_{Y_{i} \in U/D} \underline{C}(Y_{i}),$$
  

$$BND_{C}(D) = \bigcup_{Y_{i} \in U/D} (\overline{C}(Y_{i}) - \underline{C}(Y_{i})),$$
  

$$NEG_{C}(D) = U - \bigcup_{Y_{i} \in U/D} \overline{C}(Y_{i}).$$
(2)

Let  $\Omega = \{X, X^C\}$  be a set of states indicating an object x is in X or not in X, respectively, and  $\Lambda = \{a_P, a_B, a_N\}$  be a set of actions deciding the object x to be 214 POS(X), BND(X), or NEG(X), respectively. The cost functions taking different 215 actions under the states X and  $X^{C}$  can be expressed as Table 1 [33]:

216

Table 1: Cost functions for different actions under the states X and X<sup>C</sup>.

|       | $a_P$          | $a_B$          | $a_N$          |
|-------|----------------|----------------|----------------|
| X     | $\lambda_{PP}$ | $\lambda_{BP}$ | $\lambda_{NP}$ |
| $X^C$ | $\lambda_{PN}$ | $\lambda_{BN}$ | $\lambda_{NN}$ |

217 In the table,  $\lambda_{PP}$ ,  $\lambda_{BP}$ , and  $\lambda_{NP}$  denote the costs caused by taking the actions 218  $a_P$ ,  $a_B$  and  $a_N$ , respectively, when the object x belongs to X, and  $\lambda_{PN}$ ,  $\lambda_{BN}$ , and 219  $\lambda_{NN}$  denote the costs caused by taking the same actions but the object x does 220 not belong to X. 221 Given an object x, the expected costs of taking different actions can be

221 Given an object x, the expected costs of taking different actions can 222 defined as [33]:

$$R(a_P|[x]) = \lambda_{PP}P(X|[x]) + \lambda_{PN}P(X^C|[x]),$$
  

$$R(a_B|[x]) = \lambda_{BP}P(X|[x]) + \lambda_{BN}P(X^C|[x]),$$
  

$$R(a_N|[x]) = \lambda_{NP}P(X|[x]) + \lambda_{NN}P(X^C|[x]),$$

(3)

where P(X|[x]) and  $P(X^{C}|[x])$  denote the probabilities that the object x belongs to X and  $X^{C}$ , respectively, and  $P(X|[x]) = 1 - P(X^{C}|[x])$ .

According to Bayesian decision theory, the following minimum-risk rules can be derived [33]:

227 (P) if  $R(a_P|[x]) \le min\{R(a_B|[x]), R(a_N|[x])\}$ , then decide  $x \in POS(X)$ ;

228 (B) if  $R(a_B|[x]) \le min\{R(a_P|[x]), R(a_N|[x])\}$ , then decide  $x \in BND(X)$ ;

229 (N) if  $R(a_N|[x]) \le min\{R(a_P|[x]), R(a_B|[x])\}$ , then decide  $x \in NEG(X)$ .

230 Suppose the inequality  $(\lambda_{PN} - \lambda_{BN})(\lambda_{NP} - \lambda_{BP}) > (\lambda_{BP} - \lambda_{PP})(\lambda_{BN} - \lambda_{NN})$ 231 holds, the decision rules can be further simplified as [33]:

232 (P) if  $P(X|[x]) \ge \alpha$ , then decide  $x \in POS(X)$ ;

233 (B) if  $\beta < P(X|[x]) < \alpha$ , then decide  $x \in BND(X)$ ;

234 (N) if  $P(X|[x]) \le \beta$ , then decide  $x \in NEG(X)$ ,

235 where

$$\alpha = \frac{\lambda_{PN} - \lambda_{BN}}{(\lambda_{PN} - \lambda_{BN}) + (\lambda_{BP} - \lambda_{PP})},$$

$$\beta = \frac{\lambda_{BN} - \lambda_{NN}}{(\lambda_{BN} - \lambda_{NN}) + (\lambda_{NP} - \lambda_{BP})}.$$
(4)

Given the parameters  $\alpha$  and  $\beta$ , the lower and upper approximations can be redefined as in [33]:

$$\underline{\underline{B}}_{(\alpha,\beta)}(X) = \{x \in U \mid \mu_B(x) \ge \alpha\}, 
\overline{\underline{B}}_{(\alpha,\beta)}(X) = \{x \in U \mid \mu_B(x) > \beta\}.$$
(5)

Similarly, the positive, boundary, and negative regions can be defined as [33]:

 $POS_{c}^{(\alpha,\beta)}(D) = \{x \in U | P(D_{max}([x]_{C}) | [x]_{C}) \ge \alpha\},\$   $BND_{c}^{(\alpha,\beta)}(D) = \{x \in U | \beta < P(D_{max}([x]_{C}) | [x]_{C}) < \alpha\},\$  $NEG_{c}^{(\alpha,\beta)}(D) = \{x \in U | P(D_{max}([x]_{C}) | [x]_{C}) \le \beta\},\$ 

(6)

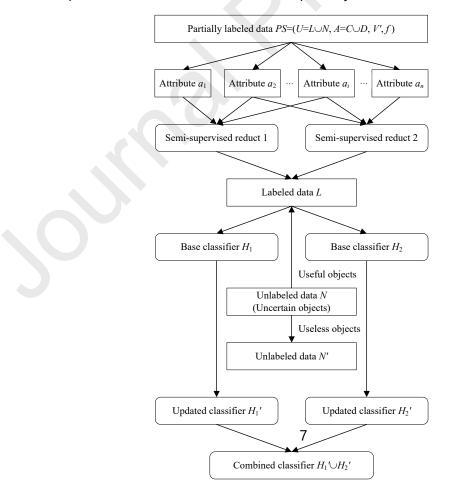
240 where  $D_{max}([x]_{C}) = argmax_{D_{i} \in U/D} \{P(D_{i}|[x]_{C})\}.$ 

241 3. Three-way decision-based co-decision model for partially labeled data

In this section, we first describe the overall framework of the proposed model. The concept of confidence discernibly matrix is then provided and used to yield the reducts of partially labeled data. Subsequently, a three-way decision-based co-decision model is presented based on two distinct semisupervised reducts. Finally, the model is theoretically analyzed.

247 3.1. Overall framework of the proposed model

248 Traditional models in three-way decision mainly deal with labeled or 249 unlabeled data, and one classifier is often used in the learning process. Due to 250 the scarcity of labeled objects, learning models with only one classifier may be 251 insufficient and undesired. In fact, some data sets, especially when there are a 252 large number of attributes, usually have more than one reduct, and each reduct 253 could describe the data completely and competently. Additionally, these 254 reducts reflect the data from different viewpoints, thus resulting in different 255 inductive biases. Intuitively, one could take advantage of the diversity of 256 multiple reduct subspaces to construct an efficient multi-view model for 257 partially labeled data. Bearing this in mind, we propose a distinct reduct 258 subspaces-based co-decision model for partially labeled data (see Figure 1).



259 Figure 1. Framework of three-way decision-based co-decision model for partially labeled data

260 More specifically, a semi-supervised attribute reduction algorithm is first 261 used to generate two distinct reducts of partially labeled data, on each of which 262 a base classifier is trained with initial labeled data. Then two base classifiers 263 learn from each other iteratively by tagging some useful unlabeled objects with 264 minimum risks to their companions until there is no eligible unlabeled object. After improved on unlabeled data, the two classifiers are combined to form the 265 266 final classifier. In the following sections, we will elaborate on the proposed 267 model.

268 3.2 269

3.2. Confidence discernibility matrix-based attribute reduction for partially labeled data

270 Attribute reduction (feature selection) [8, 43] is a process of removing 271 irrelevant and redundant attributes from data and has become an important 272 pre-processing step in machine learning and pattern recognition. It could not 273 only speed up the learning process, but also weaken the problem of over-fitting. 274 Attribute reduction is one of the most important applications of rough sets, and 275 several attribute reduction methods have been proposed [46]. Among them, 276 the methods based on the discernibility matrix [27, 44] are commonly used and 277 has attracted much attention due to its simplicity and monotonicity. Formally, 278 the discernibility matrix and its reduct can be defined as follows.

279 Definition 1. Let  $IS = (U, A = C \cup D, V, f)$  be a decision table. The element of 280 the discernibility matrix M is denoted as [27]:

$$e_{ij} = \begin{cases} \{a \in C \mid a(x_i) \neq a(x_j)\}, & d(x_i) \neq d(x_j) \\ \emptyset, & otherwise \end{cases}$$
(7)

281 Definition 2. Let  $IS = (U, A = C \cup D, V, f)$  be a decision table and M be the 282 discernibility matrix of *IS*. An attribute  $a \in C$  is a core attribute if and only if 283 there exists a singleton *e* in M such that  $e = \{a\}$  [44].

284 Definition 3. Let  $IS = (U, A = C \cup D, V, f)$  be a decision table and M be the 285 discernibility matrix of IS. For an attribute subset P of C, P is a reduct of C if and 286 only if [44]:

287 (I)  $\forall e \in M \land e \neq \emptyset, P \cap e \neq \emptyset$ , and

288 (II) 
$$\forall a \in P \land P^* = P - \{a\}, \exists e \in M \land P^* \cap e = \emptyset.$$

According to the definition, a reduct is a subset of condition attributes that has an intersection with any non-empty element in the discernibility matrix. Existing discernibility matrix-based methods mainly deal with labeled or unlabeled data. However, partially labeled data comes with both labeled and unlabeled data. To address this problem, a new discernibility matrix is developed to handle partially labeled data.

Generally, a partially labeled data consists of few labeled objects but plenty of unlabeled objects. Intuitively, a reduct of partially labeled data should be

297 able to distinguish both labeled and unlabeled objects. Therefore, in the 298 process of attribute reduction, it is desired that the method of attribute 299 reduction could take into consideration all kinds of objects. Moreover, in 300 partially labeled data, the initial labeled data may be noisy, and the unlabeled 301 data to be used is full of uncertainty so that a probabilistic method of attribute 302 reduction is preferred. To this end, we propose a novel concept of confidence discernibility matrix, which takes into consideration the discernible information 303 304 and probability distribution of both labeled and unlabeled objects. In what 305 follows, we will give an example to illustrate the proposed discernibility matrix.

306 Example 1. Let  $PS = (U = L \cup N, A = C \cup D, V', f)$  be a partially labeled data

307 shown in Table 2, where  $U = \{x_1, x_2, ..., x_{15}\}, C = \{a_1, a_2, ..., a_7\}, V_a = \{0, 1\}$  for every

308  $a \in C$ , and  $V_D = \{d_1, d_2, d_3, ?\}.$ 

| 3 | 00 | ) |
|---|----|---|
| 0 | υ. |   |

| Table 2: A part | ally labe | led data |
|-----------------|-----------|----------|
|-----------------|-----------|----------|

|                        | $a_1$ | $a_2$ | <i>a</i> <sub>3</sub> | $a_4$ | $a_5$ | $a_6$ | $a_7$ | d     |
|------------------------|-------|-------|-----------------------|-------|-------|-------|-------|-------|
| $x_1$                  | 0     | 0     | 0                     | 0     | 0     | 0     | 0     | $d_1$ |
| $x_2$                  | 0     | 0     | 0                     | 0     | 0     | 0     | 1     | $d_1$ |
| $x_3$                  | 0     | 0     | 0                     | 0     | 0     | 0     | 1     | $d_2$ |
| $x_4$                  | 0     | 0     | 0                     | 0     | 0     | 0     | 1     | $d_2$ |
| $x_5$                  | 0     | 0     | 0                     | 0     | 0     | 1     | 1     | $d_2$ |
| $x_6$                  | 0     | 0     | 0                     | 0     | 0     | 1     | 1     | $d_3$ |
| $x_7$                  | 0     | 0     | 0                     | 0     | 0     | 1     | 1     | $d_3$ |
| $x_8$                  | 0     | 0     | 0                     | 0     | 1     | 1     | 1     | $d_1$ |
| <i>x</i> 9             | 0     | 0     | 0                     | 0     | 1     | 1     | 1     | $d_3$ |
| $x_{10}$               | 0     | 0     | 0                     | 0     | 0     | 0     | 0     | ?     |
| $x_{11}$               | 0     | 0     | 0                     | 0     | 0     | 0     | 1     | ?     |
| <i>x</i> <sub>12</sub> | 0     | 0     | 0                     | 1     | 1     | 1     | 1     | ?     |
| <i>x</i> <sub>13</sub> | 0     | 0     | 0                     | 1     | 1     | 1     | 1     | ?     |
| <i>x</i> <sub>14</sub> | 1     | 0     | 1                     | 0     | 1     | 1     | 1     | ?     |
| <i>x</i> <sub>15</sub> | 1     | 0     | 1                     | 0     | 1     | 1     | 1     | ?     |

<sup>310</sup> In the table, under all condition attributes, the universe is partitioned into 311  $x_{9}$ ,  $x_{12}$ ,  $x_{13}$ ,  $x_{14}$ ,  $x_{15}$ }. For the equivalence class  $\{x_{1}, x_{10}\}$ , there are two objects, 312 313 one of which is labeled and the other is unlabeled. Undoubtedly, the class 314 information of the labeled object can be propagated to the unlabeled one 315 because the two objects have the same description in each condition attribute. 316 The decision of the object  $x_{10}$  can thus be changed from "?" to  $d_1$ . The 317 equivalence class  $\{x_2, x_3, x_4, x_{11}\}$  consists of labeled and unlabeled objects with 318 different kinds of decisions, i.e., the real decisions  $d_1$  and  $d_2$ , and the unknown 319 decision "?". Actually, this equivalence class is inconsistent. In this case, the 320 majority decision of all labeled objects in the equivalence class can be assigned 321 to the unlabeled objects. Therefore, the unlabeled object  $x_{11}$  can be labeled the 322 real decision  $d_2$ . For other unlabeled objects  $x_{12}, x_{13}, x_{14}$ , and  $x_{15}$ , we consider 323 them as the objects with a special pseudo decision "\*", whose decisions will be 324 replaced by the real decisions during the learning process. Finally, each object

in the table has a real decision or a pseudo decision, and the partially labeled data becomes a pseudo decision table. To deal with the pseudo decision table transformed from partially labeled data, we introduce a new confidence discernibility matrix.

Definition 4. Let  $PS = (U = L \cup N, A = C \cup D, V', f)$  be a partially labeled data. For an object  $x \in U$ , its maximum inclusion degree and majority decision are denoted as  $MP(x) = max\{P(D_1|[x]_C), P(D_2|[x]_C), ..., P(D_{|U/D|}|[x]_C)\}$  and

- 332  $MD(x) = argmax_{D_i \in U/D} \{P(D_i | [x]_C)\}, \text{ respectively.}$
- 333 Definition 5. Let  $PS = (U = L \cup N, A = C \cup D, V', f)$  be a partially labeled data and
- 334  $\delta$  be a confidence threshold parameter. The element of the confidence
- discernibility matrix  $CM(\delta)$  of PS is denoted as:

$$e_{ij}(\delta) = \begin{cases} (MD(x_i) \neq MD(x_j)) \\ \{a \in C \mid a(x_i) \neq a(x_j)\}, & \forall MD(x_i) = * \forall MD(x_j) = * ) \\ \land (max\{MP(x_i), MP(x_j)\} \ge \delta) \\ \emptyset, & otherwise \end{cases}$$
(8)

336 When the maximum inclusion degree of an object is lower than 1, there are 337 different definitions for the element of the discernibility matrix and may 338 generate different discernible information. In existing discernibility matrix [27], 339 the discernible information of all inconsistent objects is either all retained or 340 discarded. In fact, the measure of classification ability under uncertainty is 341 reflected not only in the decision, but also in the maximum confidence the 342 inductive decision rule has. In Definition 5, besides the decision information, a 343 confidence threshold parameter is introduced to determine the discriminating 344 information of the discernibility matrix. As a result, the discernible information 345 is generated only when two objects have different majority decisions and at 346 least one of the two objects has a maximum inclusion degree greater than  $\delta$ . 347 Compared to traditional discernibility matrices, the proposed confidence 348 discernibility matrix ignores the information generated by each pair of objects 349 whose maximum inclusion degree is all less than  $\delta$ . Actually, in the case of 350 decision-making with uncertainty, that kind of information, in a sense, is not 351 necessary for classification and may increase the complexity of attribute 352 reduction. Therefore, we should remove them to make the discernibility matrix 353 more concise and efficient.

Formally, the pseudo decision table transformed from partially labeled data  $PS = (U = L \cup N, A = C \cup D, V', f)$  is denoted as  $TS = (U', A = C \cup D, V', f)$ , while the decision table after labeling all unlabeled objects in the *PS* with groundtruth decisions is denoted as  $IS = (U, A = C \cup D, V, f)$  (called the ground-truth decision table). In what follows, we will discuss the properties of the proposed confidence discernibility matrix.

360 Proposition 1. Let  $PS = (U = L \cup N, A = C \cup D, V', f)$  be a partially labeled data 361 and  $\delta$  be a confidence threshold parameter. If  $CM_1^{\delta}$  is the confidence 362 discernibility matrix of the ground-truth decision table *IS*, and  $CM_2^{\delta}$  is the 363 confidence discernibility matrix of the transformed decision table *TS*, then, for 364 each element  $e_{ij}^1 \in CM_1^{\delta}$ , there is  $e_{ij}^2 \in CM_2^{\delta}$  such that  $e_{ij}^1 \subseteq e_{ij}^2$ .

Proof. Without loss of generality, assume  $x_i$  and  $x_j$  are two objects in the partially labeled data PS. In terms of their decision values, there are three different cases, i.e.,  $x_i \in L \land x_j \in L$ ,  $x_i \in L \land x_j \in N$  or  $x_i \in N \land x_j \in L$ , and  $x_i \in N \land$  $x_i \in N$ .

369 (1) Case 1:  $x_i \in L \land x_j \in L$ . Since the two objects  $x_i$  and  $x_j$  are all labeled, there 370 is no difference between the elements  $e_{ij}^1$  and  $e_{ij}^2$ , i.e.,  $e_{ij}^1 = e_{ij}^2$ .

371 (2) Case 2:  $x_i \in L \land x_j \in N$  or  $x_i \in N \land x_j \in L$ . In this case, only one object is 372 labeled. But each unlabeled object of *PS* is assigned a certain decision or a 373 pseudo decision "\*" after transformation. Thus,  $e_{ij}^2$  may be a non-empty element 374 in  $CM_2^{\delta}$ . While, in the ground-truth decision table *IS*, all objects have certain 375 decisions, and the element  $e_{ij}^1$  is an empty set when the objects  $x_i$  and  $x_j$  have 376 the same decision. Thus, the element  $e_{ij}^1$  of  $CM_1^{\delta}$  is a subset of the element  $e_{ij}^2$  of 377  $CM_2^{\delta}$ , i.e.,  $e_{ij}^1 \subseteq e_{ij}^2$ .

378 (3) Case 3:  $x_i \in N \land x_j \in N$ . Since both objects are unlabeled, the element  $e_{ij}^2$ 379 of  $CM_2^{\delta}$  is definitely non-empty when the objects  $x_i$  and  $x_j$  have distinct values 380 in their condition attributes. However, in the ground-truth decision table *IS*, the 381 two objects may have the same decision so that the element  $e_{ij}^1$  may be an 382 empty set. Thus,  $e_{ij}^1 \subseteq e_{ij}^2$ .

383 Therefore, in every possible case, we have  $e_{ij}^1 \subseteq e_{ij}^2$ . The proposition is proved.

Proposition 2. Let  $PS = (U = L \cup N, A = C \cup D, V', f)$  be a partially labeled data and  $\delta$  be a confidence threshold parameter. If  $Core_1$  is the set of core attributes in the ground-truth decision table *IS*, and  $Core_2$  is the set of core attributes in the transformed decision table *TS*, then  $Core_1 \subseteq Core_2$ .

Proof. According to Definition 2 and Proposition 1, it is straightforward to drawthe conclusion.

Proposition 3. Let  $PS = (U = L \cup N, A = C \cup D, V', f)$  be a partially labeled data and  $\delta$  be a confidence threshold parameter. If  $Red_1$  is a reduct of the groundtruth decision table *IS*, then there must exist a reduct  $Red_2$  of the transformed decision table *TS* such that  $Red_1 \subseteq Red_2$ .

Proof. Assume that  $CM_1^{\delta}$  and  $CM_2^{\delta}$  are the confidence discernibility matrices of the ground-truth decision table *IS* and the transformed decision table *TS*, respectively, and  $Red_1$  is a reduct in  $CM_1^{\delta}$ . Without loss of generality, assume the difference set between the elements of  $CM_1^{\delta}$  and  $CM_2^{\delta}$  has only one element *e*, i.e.,  $CM_2^{\delta} = CM_1^{\delta} \cup e$ . We proceed by cases:

(1) Case 1:  $\exists e' \in CM_1^{\delta} \land e' \subseteq e$ . According to the definition for attribute reduction (see Definition 3), each non-empty element in  $CM_1^{\delta}$  has a non-empty intersection with the reduct so that  $Red_1 \cap e' \neq \emptyset$ . Since  $e' \subseteq e$ , we have  $Red_1$  $\cap e \neq \emptyset$ . Thus,  $Red_1$  is also a reduct in  $CM_2^{\delta}$ , and  $Red_1 = Red_2$ .

403 (2) Case 2:  $\exists e' \in CM_1^{\delta} \land e' \supset e$ . Since  $e' \supset e$ , the reduct  $Red_1$  may have a non-404 empty intersection with e' - e so that  $Red_1 \cap e = \emptyset$ . However, the reduct  $Red_1$ 405 after adding an attribute  $a \in e$  can be a reduct  $Red_2$  in  $CM_2^{\delta}$ . Thus, we have  $Red_1$ 406  $\subseteq Red_2$ . 407 (3) Case 3:  $\forall e' \in CM_1^{\delta} \land (e' \not e \land e' \not a e)$ . Since the element *e* neither contains 408 nor be contained by any element of  $CM_1^{\delta}$ , the reduct  $Red_1$  in  $CM_1^{\delta}$  may not be a 409 reduct in  $CM_2^{\delta}$ . But there exists at least one attribute  $a \in e$  such that  $Red_2 = Red_1$ 410  $\cup \{a\}$  is a reduct in  $CM_2^{\delta}$ . Thus, we have  $Red_1 \subseteq Red_2$ .

411 Thus, in every possible case, we have  $Red_1 \subseteq Red_2$ . The proposition is 412 proved.

The above propositions indicate that, for any possible ground-truth decision table derived from partially labeled data, there definitely exists a reduct in the transformed decision table such that the reduct has the full ability to discern all objects in the ground-truth decision table. On the basis of this fact, we can investigate the problem of attribute reduction for partially labeled data on the transformed decision table.

419 Definition 6. Let  $PS = (U = L \cup N, A = C \cup D, V', f)$  be a partially labeled data and 420  $CM(\delta)$  be the confidence discernibility matrix of the transformed decision table 421 of PS under the confidence threshold  $\delta$ . Then, for any condition attribute  $a \in C$ , 422 its relevant set is defined as:

$$RM^{\delta}_{CM}(a) = \{ e \in CM(\delta) | a \in e \}.$$
(9)

423 Definition 7. Let  $PS = (U = L \cup N, A = C \cup D, V', f)$  be a partially labeled data and 424  $CM(\delta)$  be the confidence discernibility matrix of the transformed decision table 425 of PS under the confidence threshold  $\delta$ . Then, for any condition attribute  $a \in C$ , 426 the complement set with respect to its relevant set is defined as:

$$OM^{\delta}_{CM}(a) = \{e - \{a\} | e \in RM^{\delta}_{CM}(a)\}.$$

$$\tag{10}$$

In the definitions, the relevant set of an attribute consists of the elements
that contain the attribute. While, in the relevant set, the elements after deleting
the attribute itself constitute the complement set of the attribute.

430 On the basis of the set operators defined above, an attribute reduction 431 algorithm can be developed to obtain the reduct of partially labeled data. 432 However, finding the minimal reduct of a given data is NP-hard so that heuristic 433 algorithms are preferred. In practice, due to high efficiency and effectiveness, 434 the forward search strategy by iteratively adding attributes is often used. In this 435 paper, we also adopt the forward search strategy to maximize the discernibility 436 ability of the selected attributes with respect to the confidence discernibility 437 matrix. The procedure can be depicted by Algorithm 1.

438 In the algorithm, the partially labeled data is first transformed into a pseudo 439 decision table, and the confidence discernibility matrix is computed under the 440 confidence threshold parameter (line 1 and line 2). After putting the singletons 441 into the reduct, the algorithm iteratively selects the optimal attributes into the 442 reduct and simultaneously removes their relevant sets until the confidence 443 discernibility matrix is empty (line 3 to line 8). The optimal semi-supervised 444 reduct is finally generated after the algorithm terminates, which has a non-445 empty intersection with any non-empty element of the confidence discernibility

446 matrix, thus preserving the same discriminating power as all condition 447 attributes.

Algorithm 1 A confidence discernibility matrix-based semi-supervised attribute reduction algorithm for partially labeled data

Input:

A partially labeled data  $PS = (U = L \cup N, A = C \cup D, V', f)$  and a confidence threshold parameter  $\delta$ ;

Output:

An optimal semi-supervised reduct P;

- 1: Transform the partially labeled data *PS* into a pseudo decision table *TS*;
- 2: Compute the confidence discernibility matrix  $CM(\delta)$  of TS,  $P \leftarrow \phi$ ;
- Add all singletons of *CM*(δ) into *P* and remove their relevant sets from *CM*(δ);
- 4: While  $CM(\delta) \neq \emptyset$  Do
- 5: Select an attribute  $a_{opt}$  that has the maximum frequency within  $CM(\delta)$ ;
- 6:  $P \leftarrow P \cup \{a_{opt}\};$
- 7:  $CM(\delta) \leftarrow CM(\delta) RM^{\delta}_{CM}(a_{opt})$  //Remove the relevant set of  $a_{opt}$ ;
- 8: End While
- 9: Return The semi-supervised reduct P.

448 Without loss of generality, assume that a partially labeled data has |U|449 objects described by |C| attributes. The time cost for constructing a confidence 450 discernibility matrix is  $O(|C||U|^2)$ . In each iteration, the algorithm selects an 451 optimal attribute and simultaneously removes the relevant set from the 452 confidence discernibility matrix. In the worst-case, the matrix is empty after |C|453 rounds of selection. Therefore, based on the confidence discernibility matrix, 454 the time cost for computing an optimal reduct is  $O(|C|^2|U|^2)$ . The total time 455 cost of Algorithm 1 is  $O(|C||U|^2) + O(|C|^2|U|^2)$ , which is approximate to  $O(|C|^2)$  $|U|^2$ ), and the total space cost is at most  $O(|C||U|^2)$ . 456

457 3.3. Co-decision model for partially labeled data

458 In traditional three-way decision-based classification, learning model 459 mainly deals with labeled data and trains only one classifier. However, a partially 460 labeled data usually contains few labeled data but along with a large amount 461 of unlabeled data. Obviously, due to the scarcity of labeled data, the learning 462 model with one classifier is not sufficient. Co-training is a multi-view paradigm 463 that has been proved to be effective for partially labeled data [2]. It trains two 464 classifiers on initial labeled data and achieves better performance by learning 465 from unlabeled data. Standard co-training relies heavily on two sufficient and 466 redundant subsets of attributes to train its classifiers. However, most real-world 467 data have only one undivided set of attributes. In order to use the paradigm of 468 co-training, we need to address the problem of splitting the whole attribute set 469 into two attribute subsets.

470 Based on Algorithm 1, we can obtain an optimal reduct of partially labeled 471 data. It can be one attribute subset for co-training because each reduct is a 472 jointly sufficient subset of attributes to discriminate all objects in partially 473 labeled data. As for the other attribute subset, the theoretically best way is to

474 obtain all reducts of partially labeled data and then select the reduct that has 475 the least common attributes with the optimal reduct. However, finding all 476 reducts is very time-consuming, and thus the heuristic algorithm is preferred. 477 Based on the concept of the complement set of an attribute (see Definition 7), 478 we can develop a heuristic algorithm to yield another distinct reduct by slightly 479 adjusting the procedure of Algorithm 1. More specifically, in each round of 480 attribute selection, Algorithm 1 will select an optimal attribute and discard the 481 relevant set of the optimal attribute. According to Definitions 6 and 7, the 482 relevant set of an attribute consists of the attribute itself and its complement 483 set. In fact, the attributes in the complement set can also be used to yield the 484 reducts. Therefore, we can use the redundancy of attributes to generate two 485 distinct reducts. The procedure is shown in Algorithm 2.

Algorithm 2 A heuristic algorithm for distinct semi-supervised reducts Input:

A partially labeled data  $PS = (U = L \cup N, A = C \cup D, V', f)$  and a confidence threshold parameter  $\delta$ ;

Output:

Two distinct semi-supervised reducts  $P_1$  and  $P_2$ ;

- 1: Transform the partially labeled data PS into a pseudo decision table TS;
- 2: Compute the confidence discernibility matrix  $CM(\delta)$  of TS,  $Core \leftarrow \phi$ ;
- 3: Add all singletons in  $CM(\delta)$  to *Core* and remove their relevant sets from  $CM(\delta)$ ,  $P_1 \leftarrow Core$ ,  $P_2 \leftarrow Core$ ,  $CM_1^{\delta} \leftarrow CM(\delta)$ ,  $CM_2^{\delta} \leftarrow \emptyset$ ;
- 4: While  $CM_1^{\delta} \neq \emptyset$  Do
- 5: Select an attribute  $a_{opt}$  that has the maximum frequency within  $CM_1^{\delta}$ ;
- 6:  $P_1 \leftarrow P_1 \cup \{a_{opt}\}$  and  $CM_1^{\delta} \leftarrow CM_1^{\delta} RM_{CM_1}^{\delta}(a_{opt})$ ;
- 7:  $CM_2^{\delta} \leftarrow CM_2^{\delta} \cup OM_{CM_1}^{\delta}(a_{opt})$ ; //Information for another reduct
- 8: End While
- 9: Add all singletons of  $CM_2^{\delta}$  into  $P_2$  and remove their relevant sets from  $CM_2^{\delta}$ ;
- 10: While  $CM_2^{\delta} \neq \emptyset$  Do
- 11: Select an attribute  $a_{opt}$  that has the maximum frequency within  $CM_2^{\delta}$ ;
- 12:  $P_2 \leftarrow P_2 \cup \{a_{opt}\}$  and  $CM_2^{\delta} \leftarrow CM_2^{\delta} RM_{CM_2}^{\delta}(a_{opt})$ ;
- 13: End While
- 14: Return Two semi-supervised reducts  $P_1$  and  $P_2$ .

486 In Algorithm 2, after computing the confidence discernibility matrix of the 487 partially labeled data, the core attributes, that is, the attributes in the singletons 488 of the confidence discernibility matrix, are first added into each semi-489 supervised reduct, and their relevant sets are removed accordingly. The 490 algorithm, on the one hand, iteratively selects the optimal attributes from the 491 current confidence discernibility matrix to form the optimal reduct. On the 492 other hand, the complement sets of the selected optimal attributes are reserved 493 for the other reduct. The elements after removing an attribute may become the 494 singletons so that all singletons in the collection of the complement sets are 495 first added into the second reduct. The algorithm repeatedly selects the optimal 496 attributes in the current collection of the complement sets until the collection 497 is empty. Since the second reduct is generated from the complement sets of all 498 selected optimal attributes in the optimal reduct, the two reducts will be 499 different and diverse. For Table 2, the confidence discernibility matrix after the 500 law of absorption is {{a<sub>6</sub>},{a<sub>7</sub>},{a<sub>5</sub>},{a<sub>4</sub>},{a<sub>1</sub>,a<sub>3</sub>}, and two reducts {a<sub>6</sub>,a<sub>7</sub>, a<sub>5</sub>,a<sub>4</sub>,a<sub>1</sub>} 501 and {a<sub>6</sub>,a<sub>7</sub>,a<sub>5</sub>,a<sub>4</sub>,a<sub>3</sub>} can be generated by Algorithm 2.

502 As for the complexity, Algorithm 2 performs the process of Algorithm 1 503 twice, thus its time and space cost is almost the same as that of Algorithm 1, 504 i.e.,  $O(|C|^2|U|^2)$  and  $O(|C||U|^2)$ .

505 To efficiently learn from partially labeled data, we also need to address the 506 problem of selecting unlabeled objects because not all unlabeled data is 507 beneficial to the learning model. Generally, unlabeled data can be divided into 508 useful, useless, and uncertain objects in terms of their effect on the learning 509 model. The useful objects can be used to improve the performance of the 510 learning model. Conversely, the useless objects are those that have no positive 511 effect on the learning model, and even make it worse. The unlabeled objects 512 that cannot be determined to be useful or useless belong to uncertain. 513 Intuitively, we can categorize each unlabeled object by the probability 514 predicted by the learning model. However, in some cases, objects with different 515 decisions could result in different risks. Therefore, we should take into 516 consideration both the prediction probability and the decision risk to determine 517 each unlabeled object.

In three-way decision, an object is determined to be positive, negative, or uncertain by using the idea of decision making with Bayesian minimum risk. A natural idea is to use the theory of three-way decision to evaluate unlabeled objects. But traditional three-way decision is a single view model. By integrating three-way decision with co-training, we propose a multi-view co-decision model to categorize unlabeled objects. For each unlabeled object, the codecision results can be expressed as Table 3.

525

Table 3: Co-decision results by two views.

|         | $a_P^2$ | $a_B^2$ | $a_N^2$ |
|---------|---------|---------|---------|
| $a_P^1$ | Р       | Р       | Ν       |
| $a_B^1$ | Ρ       | В       | Р       |
| $a_N^1$ | Ν       | Р       | Р       |

526 In Table 3,  $a_t^k$  denotes view k makes the decision t for an object x, where k 527  $\in \{1,2\}, t \in \{P,B,N\}$ , and P, B, and N in each cell denote the model with two 528 views makes a co-decision to decide the object x to be positive, boundary, or 529 negative, respectively.

In the proposed co-decision model, an acceptance decision is made when one of the two views confidently classifies the object as positive or negative and the other view as boundary; a rejection decision is made when one of the two views confidently determines the object to be positive and the other view to be negative; a wait-and-see decision can be only made when both views consider the object to be boundary. For the acceptance decision, since one of the two views is confident in its decision, the uncertain one could leverage the 537 useful objects to improve its performance. For the rejection decision, two views 538 are both confident in the decision, but their predictions are contradictory. The 539 performance may deteriorate after learning from the divergent objects so that 540 the co-decision model should discard this kind of unlabeled objects. For the 541 wait-and-see decision, both views are unconfident to make a certain decision 542 so that the co-decision model cannot use the uncertain unlabeled objects but 543 keep them for further learning. For the unlabeled objects that both views are 544 confident to determine to be positive or negative, the co-decision model can 545 make an acceptance decision. However, considering that each view already has 546 the ability to discern these objects, we do not consider them in order to simplify 547 the learning process.

Taking into consideration both the decision and the risk, the collaborativedecision costs under different actions can be described as:

$$R(b_{P}|x) = \min_{i \in \{P,N\} \land j \in \{B\}} \{R(a_{i}^{1}|x) + R(a_{j}^{2}|x), R(a_{j}^{1}|x) + R(a_{i}^{2}|x)\},\$$

$$R(b_{B}|x) = \min_{i \in \{B\} \land j \in \{B\}} \{R(a_{i}^{1}|x) + R(a_{j}^{2}|x)\},\$$

$$R(b_{N}|x) = \min_{i,j \in \{P,N\} \land i \neq j} \{R(a_{i}^{1}|x) + R(a_{j}^{2}|x)\},\$$
(11)

where  $R(b_P|x)$ ,  $R(b_B|x)$ , and  $R(b_N|x)$  denote the costs for deciding an unlabeled object x to be useful, uncertain, or useless, respectively. According to Bayesian minimum risk decision, we can drive the following decision rules:

553 (P) if  $R(b_P|x) < min\{R(b_B|x), R(b_N|x)\}$ , then decide x to be useful;

(B) if  $R(b_B|x) < min\{R(b_P|x), R(b_N|x)\}$ , then decide x to be uncertain;

555 (N) if  $R(b_N|x) < min\{R(b_P|x), R(b_B|x)\}$ , then decide x to be useless.

556 With the principle of the three-way co-decision, we can examine each 557 unlabeled object and select some useful ones to improve the learning model. 558 The process of the three-way co-decision model for partially labeled data can 559 be depicted by Algorithm 3.

560 Algorithm 3 uses Algorithm 2 to decompose all condition attributes into 561 two distinct reducts, on each of which a base classifier is trained on the initial 562 labeled data. After initializing all parameters, the two classifiers repeatedly learn 563 from each other by utilizing the useful objects determined by the three-way co-564 decision. More specifically, in each round of co-training, the performance of the 565 two classifiers is evaluated on the initial labeled data, and then all unlabeled 566 objects are grouped into three disjoint sets using the principle of three-way 567 decision under multi-view, i.e., the useful, uncertain, and useless sets. When the 568 performance of one classifier does not decrease, the classifier is retrained on a 569 certain number of useful objects determined by the constrained inequality; 570 otherwise, the classifier does not change. The algorithm terminates if neither 571 classifier is updated, and the final classifier is generated by combining the two 572 learned classifiers.

Assume that a partially labeled data consists of |L| labeled and |N|unlabeled objects described by |C| attributes (|U| = |L| + |N|). The time cost of training a base classifier is almost O(|C||U|). In each round of co-training, the two classifiers learn from each other on some useful objects. In the worst case, Algorithm 3 terminates after |N| rounds of co-training. Thus, based on two 578 distinct reducts of a given partially labeled data, the time cost of Algorithm 3 is 579 at most  $O(|C||U|^2)$ , and its space cost is almost O(|C||U|).

Algorithm 3 Three-way co-decision model for partially labeled data

Input:

A partially labeled data  $PS = (U = L \cup N, A = C \cup D, V', f)$  and a confidence threshold parameter  $\delta$ ;

Output:

A combined classifier H;

- 1: Decompose the condition attribute set C into two distinct semi-supervised reducts  $P_1$  and  $P_2$  by Algorithm 2;
- 2: Train two base classifiers  $H_1$  and  $H_2$  on L using the reducts  $P_1$  and  $P_2$ , respectively;
- 3: Set the initial error rates and the sets of initial unlabeled objects for the two classifiers, t←0, Err<sup>t</sup><sub>1</sub>←0.5, Err<sup>t</sup><sub>2</sub>←0.5, N<sup>t</sup><sub>P,1</sub>←Ø, N<sup>t</sup><sub>P,2</sub>←Ø, |N<sup>t</sup><sub>P,1</sub>|←1, |N<sup>t</sup><sub>P,2</sub>|←1, N<sup>t</sup> = N, Update<sup>t</sup>←True;
- 4: While  $Update^{t} = True$  Do
- 5: Test the error rates  $Err_1^{t+1}$  and  $Err_2^{t+1}$  of the two classifiers  $H_1$  and  $H_2$  on L,  $Update^{t+1} \leftarrow False$ ;
- 6: Categorize unlabeled data  $N^t$  into the sets of useful objects  $N_P^{t+1}$ , uncertain objects  $N_B^{t+1}$ , and useless objects  $N_N^{t+1}$  with the principle of the three-way co-decision;
- 7: Label each useful object with the class that one of the two classifiers confidently predicts, and update the unlabeled data  $N^{t+1} \leftarrow N^t N_N^{t+1}$ ;
- 8: If  $Err_1^{t+1} < Err_1^t$  Then
- 9: Select the uncertain objects  $N_{P,1}^{t+1}$  of  $H_1$  from  $N_P^{t+1}$ ;
- 10: Randomly pick a certain number of unlabeled objects  $N_{P+1}^{t+1}$  from  $N_{P,1}^{t+1}$  to keep the inequality  $Err_1^{t+1} * |N_{P,1}^t \cup N_{P+1}^{t+1}| < Err_1^t * |N_{P,1}^t|$ ;
- 11: Retrain  $H_1$  on  $L \cup N_P^{t+1}$ ,  $N_{P,1}^{t+1} \leftarrow N_{P,1}^t \cup N_P^{t+1}$ ,  $Update^{t+1} \leftarrow True$ ;
- 12: End If
- 13: If  $Err_2^{t+1} < Err_2^t$  Then
- 14: Select the uncertain objects  $N_{P,2}^{t+1}$  of  $H_2$  from  $N_P^{t+1}$ ;
- 15: Randomly pick a certain number of unlabeled objects  $N_{P+2}^{t+1}$  from  $N_{P,2}^{t+1}$  to keep the inequality  $Err_2^{t+1} * |N_{P,2}^t \cup N_{P+2}^{t+1}| < Err_2^t * |N_{P,2}^t|$ ;
- 16: Retrain  $H_2$  on  $L \cup N_P^{t+1}$ ,  $N_{P,2}^{t+1} \leftarrow N_{P,2}^t \cup N_P^{t+1}$ ,  $Update^{t+1} \leftarrow True$ ;
- 17: End If
- 18:  $t \leftarrow t + 1;$
- 19: End While
- 20: Combine the two classifiers into a final classifier  $H = Combine(H_1, H_2)$ ; 21: Return the combined classifier H.

580 3.4. Theoretical analysis on the effectiveness of co-decision model

581 Considering the fact that the data in practical application typically has only 582 a naturally undivided attribute set, the co-decision model relaxes the 583 assumption of sufficient and redundant views in standard co-training into two

584 distinct reducts. From the perspective of attribute reduction, each reduct is a 585 jointly sufficient subset of all attributes that can preserve the overall 586 discriminating power as the original attribute set. In addition, the algorithm for 587 attribute reduction keeps two reducts to share common attributes as few as 588 possible, and each reduct describes the data in different viewpoints such that 589 the two trained classifiers in the co-decision model are sufficient and diverse to 590 learn from each other. The researches in [20, 49] have shown that the process 591 of co-training can succeed even if the two classifiers have a large diversity, 592 which further guarantees that the proposed co-decision model could work well for partially labeled data. 593

594 The quality of unlabeled objects is another key factor for the success of co-595 training. On the one hand, the co-decision model employs the strategy of 596 three-way decision to determine unlabeled objects to be useful, useless, or 597 uncertain. In other words, the determination of each unlabeled object is not 598 only related to the prediction probability, but also to the misclassification cost. 599 On the other hand, after categorizing unlabeled data, some useful objects are 600 selected for each classifier only when the estimated performance of the 601 classifier does not deteriorate. Essentially, the principle of noise learning [1] is 602 implicitly embedded into the co-decision model. In general, the performance 603 of a classification model learned from noisy objects is constrained by the 604 following equality:

$$m = \frac{c}{\epsilon^2 (1 - 2\eta)^{2'}} \tag{12}$$

605 where *m* is the number of objects for learning,  $\epsilon$  is the worst-case error rate, 606  $\eta(\eta < 0.5)$  is an upper bound on the classification noise rate, and *c* is constant 607 with respect to learning task.

608 By reforming the above equality, the following utility function with respect 609 to the classification noise rate is obtained:

$$u = \frac{c}{\left(1 - 2\eta\right)^2} = m\epsilon^2. \tag{13}$$

610 To reduce the classification noise rate, the utility function should decrease 611 in each iteration, i.e.,  $u^{t+1} < u^t$ . The following inequality can be derived:

$$m^{t+1}(\epsilon^{t+1})^2 < m^t(\epsilon^t)^2.$$
 (14)

612 Equivalently, we have

$$m^{t+1}\epsilon^{t+1} < m^t \epsilon^t, \tag{15}$$

613 and also the following constrained condition should be satisfied:

$$0 < \frac{\epsilon^{t+1}}{\epsilon^t} < \frac{m^t}{m^{t+1}} < 1.$$
 (16)

614 According to (15) and (16), the inequality  $m^{t+1}\epsilon^{t+1} < m^t\epsilon^t$  and the 615 constraints  $\epsilon^t < \epsilon^{t-1}$  and  $m^{t-1} < m^t$  should be met simultaneously in each 616 iteration.

In the proposed co-decision model, a classifier is considered for updating
on some unlabeled data only when the estimated error rate does not increase.
Furthermore, the classifier in each iteration only selects a certain number of
unlabeled objects constrained by the inequality (15) in order to reduce (at least
keep) the classification noise rate. Therefore, the co-decision model could use
unlabeled data to improve its performance effectively.

623 Assume there are n = |N| unlabeled objects in a given partially labeled data. 624 The diversity of two classifiers on unlabeled data can be described by a 625 confusion matrix (see Table 4).

626

Table 4: Diversity of two classifiers on unlabeled data.

|                | H <sub>2</sub> positive | $H_2$ boundary | H <sub>2</sub> negative |
|----------------|-------------------------|----------------|-------------------------|
| $H_1$ positive | $n_{PP}$                | $n_{PB}$       | $n_{PN}$                |
| $H_1$ boundary | $n_{BP}$                | $n_{BB}$       | $n_{BN}$                |
| $H_1$ negative | $n_{NP}$                | $n_{NB}$       | $n_{NN}$                |

627 In the table,  $n_{ii}$  denotes that the classifier 1 predicts an object to be i and 628 the classifier 2 predicts the object to be j, where i and j belong to positive, 629 boundary, or negative. In the first round of co-training, at most  $n_{BP} + n_{BN}$  and 630  $n_{PB} + n_{NB}$  unlabeled objects can be used to improve the classifier 1 and the 631 classifier 2, respectively, so that total  $n_{BP} + n_{BN} + n_{PB} + n_{NB}$  unlabeled objects 632 could be utilized by the co-decision model. After each round of co-training, 633 some uncertain unlabeled objects may become useful. As a result, the co-634 decision model could at most use  $n_{BP} + n_{BN} + n_{PB} + n_{NB} + n_{BB}$  unlabeled 635 objects to improve its performance.

## 636 4. Empirical analysis

The purpose of the experiments is twofold. One is to verify the effectiveness of the proposed attribute reduction algorithm for partially labeled data, i.e., Algorithm 1. The other is set out to show the performance of the proposed model compared to other semi-supervised learning models for partially labeled data. All experiments were carried out on a computer with Windows 10 operating system, Intel Xeon (R) CPU E5-2670 v3@2.30 GHz processor, and 32 GB Memory.

644 4.1. Investigated data sets and experiment design

645 Ten UCI data sets<sup>1</sup> are considered in the experiments, and the details are 646 summarized in Table 5.

647

Table 5: Investigated data sets

| Data sets                     | C      | U    | U/D | Missing | Inconsistency |
|-------------------------------|--------|------|-----|---------|---------------|
| credit-rating(credit)         | 15(6)  | 690  | 2   | Y       | 8             |
| german-credit(german)         | 20(7)  | 1000 | 2   | Ν       | 2             |
| gesture-phase-a2va3(gesture1) | 32(32) | 1260 | 5   | Ν       | 27            |
| gesture-phase-b1va3(gesture2) | 32(32) | 1069 | 5   | Ν       | 39            |

1. http://archive.ics.uci. edu/ml

| Jour                              | Journal Pre-proofs |      |   |   |    |  |  |  |
|-----------------------------------|--------------------|------|---|---|----|--|--|--|
| horse-colic(horse)                | 22(7)              | 368  | 2 | Y | 0  |  |  |  |
| kdd-synthetic-control(kdd)        | 60(60)             | 600  | 6 | Ν | 0  |  |  |  |
| parkinson-speech-train(parkinson) | 26(26)             | 1040 | 2 | Ν | 57 |  |  |  |
| sonar(sonar)                      | 60(60)             | 208  | 2 | Ν | 0  |  |  |  |
| tic-tac-toe(ttt)                  | 9(0)               | 958  | 2 | Ν | 0  |  |  |  |
| wine(wine)                        | 13(13)             | 178  | 3 | Ν | 0  |  |  |  |

In Table 5, the second column denotes the number of condition attributes, in which the number of numerical attributes is listed in the brackets. While the number of objects and classes in each data set is shown in the third and fourth columns, respectively. The fifth column indicates whether the data set has missing values or not, and the last column reports the number of inconsistent objects within the data set.

654 To facilitate the experiments, missing values in each data set are all 655 completed by the mean (or mode) of the corresponding attribute. While the 656 numerical attributes in each data set are discretized into categorical attributes 657 since the proposed model is primarily developed for partially labeled data with 658 categorical attributes. Due to the simplicity and popularity, the technique of 659 equal frequency binning with three bins is employed to discretize numerical 660 attributes into categorical ones [9]. In the experiments, 10-fold cross-validation 661 is employed. More specifically, in each fold, 90% of objects are selected for the 662 training set, and the remaining objects are used as the test set. For a given label 663 rate, the training set is further randomly partitioned into a set of labeled objects 664 L and a set of unlabeled objects N. For instance, if there is a training set with 665 1000 objects, under the label rate  $\theta = 10\%$ , a labeled set of 100 objects and an 666 unlabeled set of 900 objects will be generated in the experiments.

667 4.2. Attribute reduction for partially labeled data

668 To test the effectiveness of the proposed attribute reduction algorithm for 669 partially labeled data, we conduct the experiments on all selected data sets 670 under the label rate  $\theta = 10\%$ . In the proposed algorithm, a confidence 671 parameter is needed to generate the discernibility matrix, which could provide 672 the adaptability to noise. The higher the confidence threshold, the lower the 673 degree of tolerance to noise. The confidence discernibility matrix degenerates 674 into traditional discernibility matrix when the confidence threshold is set to 1. 675 In practice, the setting for this parameter is task-specific and is suggested to 676 select from the range (0.5, 1). For simplicity, we empirically set the confidence 677 parameter  $\delta$  to 0.75 in all experiments. The reduct information of all selected 678 data sets is shown in Table 6.

679

Table 6: Results of semi-supervised attribute reduction under the label rate  $\theta = 10\%$ 

| Data anta Davu |     | Semi-supervised reduct  |    |         | Grou             | und-tru | th reduct | A norovinanto roto |
|----------------|-----|-------------------------|----|---------|------------------|---------|-----------|--------------------|
| Data sets      | Raw | Min Max Average Min Max |    | Average | Approximate rate |         |           |                    |
| credit         | 15  | 12                      | 13 | 12.93   | 9                | 11      | 10.70     | 0.83               |
| german         | 20  | 12                      | 14 | 13.30   | 10               | 11      | 10.59     | 0.80               |
| gesture1       | 32  | 23                      | 25 | 24.20   | 15               | 19      | 16.93     | 0.70               |
| gesture2       | 32  | 22                      | 25 | 23.60   | 14               | 17      | 15.17     | 0.64               |
| horse          | 22  | 11                      | 15 | 13.67   | 8                | 10      | 8.99      | 0.66               |

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|--------------------|------|------|------|-------|------|------|-------|------|--|--|
|                    |      |      |      |       |      |      |       |      |  |  |
| kdd                | 60   | 12   | 14   | 13.10 | 8    | 9    | 8.90  | 0.68 |  |  |
| parkinson          | 26   | 19   | 20   | 19.80 | 15   | 16   | 15.65 | 0.79 |  |  |
| sonar              | 60   | 8    | 9    | 8.23  | 6    | 7    | 6.57  | 0.80 |  |  |
| ttt                | 9    | 8    | 8    | 8.00  | 8    | 8    | 8.00  | 1.00 |  |  |
| wine               | 13   | 9    | 11   | 9.97  | 4    | 6    | 5.21  | 0.52 |  |  |
| Avg.               | 28.9 | 13.6 | 15.4 | 14.68 | 9.70 | 11.4 | 10.67 | 0.73 |  |  |

680 In the table, we collect the reducts in 10-fold cross-validation. The statistical 681 results, including the maximum, minimum, and average numbers of attributes 682 in the reducts, are listed in the third to fifth columns. Besides, we also record 683 the real reduct information for comparison, i.e., the reduct under the label rate 684  $\theta = 100\%$ . The difference between the semi-supervised reduct and the 685 ground-truth supervised reduct is indicated in the last column, i.e., approximate 686 rate, which is computed by the average number of attributes in the ground-687 truth reduct over that in the semi-supervised reduct.

688 In Table 6, it is evident that some of the attributes are removed from each 689 data set after semi-supervised attribute reduction. By viewing the experimental results, we find that, in every fold of cross-validation, some attributes are 690 691 excluded from the reducts, but at the same time some attributes are always 692 included in the reducts. The main reason for this may be that these attributes 693 are completely irrelevant or strongly relevant to classification task. Compared 694 with the ground-truth reduct, the proposed algorithm achieves an approximate 695 rate of 73% on all data sets. It is noteworthy that the semi-supervised reduct of 696 data set "ttt" under the label rate  $\theta = 10\%$  is exactly the same as the ground-697 truth supervised reduct obtained under the label rate  $\theta = 100\%$ . These results 698 demonstrate the potential of the proposed attribute reduction algorithm for 699 partially labeled data.

## 700 4.3. The effectiveness of the co-decision model

701 The proposed co-decision is compared with classic semi-supervised 702 methods, including self-training and co-training. Original self-training [20] is a 703 self-taught algorithm with only one view. It trains a base classifier on initial 704 labeled data and iteratively selects some confident unlabeled data with their 705 predictions to retrain the base classifier until the stop condition is met. Co-706 training is a multi-view paradigm in disagreement-based methods, but its 707 constraint on view is hard to satisfy because most of data sets do not have 708 naturally partitioned views. Fortunately, the work in [20] showed that co-709 training can still benefit from unlabeled objects by randomly splitting the 710 original attribute set into two subsets. Thus, in our experiments, we split the 711 attributes in each data set into two disjoint sets with almost equal size. For fair 712 comparison, self-training with two random split views is also investigated. 713 Moreover, we record the initial performance of semi-supervised methods for 714 comparison. The settings for all selected methods are shown in Table 7.

## Table 7: Settings for all selected methods.

| Methods  | View generation        | Object selection |
|----------|------------------------|------------------|
| ST-1View | Original attribute set | Confidence level |

| ST-2Views | Random split attribute subsets | Confidence level |
|-----------|--------------------------------|------------------|
| CT-2Views | Random split attribute subsets | Confidence level |
| CD-2Views | Attribute reduction            | Minimum risk     |

716 In Table 7, ST-1View and ST-2Views denote the methods of self-training 717 with one view and two views, respectively. While CT-2Views and CD-2Views 718 stand for the standard co-training and the proposed co-decision method, 719 respectively. To learn from partially labeled data, ST-1View, ST-2Views, and CT-2Views require the confidence threshold parameters to determine useful 720 721 unlabeled objects. The proposed CD-2Views also needs to generate the 722 confidence discernibility matrix based on a confidence threshold and 723 categorize unlabeled objects by a pair of threshold parameters, while the latter 724 is calculated from practical risk functions and task-specific. For simplicity and 725 fair comparison, we use the same parameters ( $\delta = 0.75$ ,  $\alpha = 0.75$ ,  $\beta = 0.55$ ) in 726 all experiments. More specifically, ST-1View and ST-2Views will select the 727 unlabeled objects whose confidence levels are greater than  $\alpha_i$  and CT-2Views 728 will use the unlabeled objects when the predicted confidence of one classifier 729 is greater than  $\alpha$  but the other classifier is less than  $\beta$ . While CD-2Views will use 730 the confidence threshold  $\delta$  to generate the discernibility matrix and the 731 threshold parameters  $\alpha$  and  $\beta$  to determine the useful, uncertain, and unuseful 732 unlabeled objects, respectively.

To investigate the effectiveness of the proposed method, two different base classifiers, namely J48 and Naive Bayes, are utilized in the experiments. When the label rate is set to  $\theta = 10\%$ , the results of the selected methods on all data sets are shown in Tables 8 and 9.

737 In Tables 8 and 9, the columns of "Initial" and "Final" denote the error rates 738 of the selected method learned from initial labeled data and further improved 739 by unlabeled data, respectively, and their results are averaged from 10-fold 740 cross-validation. The column of "Improv." indicates the degree of improvement 741 on performance, which can be computed by dividing the performance gain over 742 the initial performance, and the column of "Max Performance" shows the error 743 rates of the classifier trained on all training data with true labels, i.e., data set 744 under the label rate  $\theta = 100\%$ . The best results among the selected methods 745 are all boldfaced. The row of "Avg." in the table shows the average error rates 746 of the selected methods across all data sets. Note that the performance of 747 multi-view models is calculated by averaging all base classifiers.

748 From Tables 8 and 9, it is observed that, under the label rate  $\theta = 10\%$ , the 749 performance of the selected algorithm is significantly different. Self-training 750 with one view (ST-1View) achieves the best performance improvement on some 751 data sets, such as "gesture2" (8.51%) in Table 8, "wine" (16.63%) in Table 9, but 752 its performance become worse on most of other data sets. Self-training with 753 two views (ST-2Views) benefits from the framework of multi-view and obtains 754 relatively stable results, while the final performance still deteriorates after 755 learning from unlabeled data on most data sets. Co-training with two views (ST-756 2Views) can learn from each other so that it could improve its performance by 757 exploiting unlabeled data. However, it is also shown that, on some data sets, 758 the performance of ST-2Views is almost unchanged or even become worse.

759 While co-decision with two views (CD-2Views), by carefully selecting useful 760 unlabeled data, gains a performance improvement on most data sets. By 761 averaging all results on the selected data sets, the final performance of CD-762 2Views using J48 and Naive Bayes is improved by 4.09% and 6.00%, respectively. 763 Although the performance of ST-1View is also enhanced by 0.67% and 1.70%, 764 respectively, its final performance is much worse than that of CD-2Views.

| 765           | Tab      | ole 8: A   | verage p | perform   | ance of    | the sele | ected m   | ethods | using J4 | 18 class  | ifier ( $\theta$ | = 10%). |             |
|---------------|----------|------------|----------|-----------|------------|----------|-----------|--------|----------|-----------|------------------|---------|-------------|
|               | ST-1View |            |          | ST-2Views |            |          | CT-2Views |        |          | CD-2Views |                  |         | Max         |
|               | Initial  | Final      | Improv.  | Initial   | Final      | Improv.  | Initial   | Final  | Improv.  | Initial   | Final            | Improv. | Performance |
| credit        | 0.2086   | 0.1948     | 6.61%    | 0.2326    | 0.2354     | -1.18%   | 0.2326    | 0.2275 | 2.18%    | 0.2074    | 0.191<br>9       | 7.48%   | 0.1592      |
| german        | 0.3335   | 0.3376     | -1.23%   | 0.3413    | 0.3466     | -1.55%   | 0.3413    | 0.3383 | 0.88%    | 0.3334    | 0.331<br>9       | 0.45%   | 0.3319      |
| gesture1      | 0.5341   | 0.5468     | -2.38%   | 0.5302    | 0.5413     | -0.21%   | 0.5302    | 0.5452 | -0.03%   | 0.5294    | 0.516<br>7       | 2.40%   | 0.4546      |
| gesture2      | 0.6043   | 0.552<br>8 | 8.51%    | 0.5790    | 0.5922     | -2.27%   | 0.5790    | 0.5875 | -1.46%   | 0.5837    | 0.5552           | 4.88%   | 0.3772      |
| horse         | 0.2353   | 0.2358     | -0.22%   | 0.2634    | 0.2615     | 0.72%    | 0.2626    | 0.2626 | 0.00%    | 0.2351    | 0.232<br>9       | 0.91%   | 0.1948      |
| kdd           | 0.3967   | 0.3983     | -0.42%   | 0.3733    | 0.3750     | 0.45%    | 0.3868    | 0.3850 | 0.46%    | 0.3833    | 0.336<br>7       | 12.17%  | 0.1440      |
| parkinso<br>n | 0.4606   | 0.4615     | -0.21%   | 0.4621    | 0.4702     | -1.74%   | 0.4615    | 0.4615 | 0.00%    | 0.4567    | 0.452<br>5       | 0.93%   | 0.4039      |
| sonar         | 0.3773   | 0.3822     | -1.30%   | 0.4053    | 0.3977     | 1.86%    | 0.3949    | 0.3949 | 0.00%    | 0.3782    | 0.372<br>9       | 1.40%   | 0.2225      |
| ttt           | 0.3178   | 0.3221     | -1.34%   | 0.3425    | 0.3389     | 1.07%    | 0.3425    | 0.3453 | -0.82%   | 0.3199    | 0.314<br>3       | 1.76%   | 0.1426      |
| wine          | 0.3018   | 0.3058     | -1.33%   | 0.2733    | 0.272<br>8 | 0.19%    | 0.2733    | 0.2819 | -3.13%   | 0.2993    | 0.2737           | 8.54%   | 0.0975      |
| Avg.          | 0.3770   | 0.3738     | 0.67%    | 0.3803    | 0.3832     | -0.27%   | 0.3805    | 0.3830 | -0.19%   | 0.3726    | 0.357<br>9       | 4.09%   | 0.2528      |

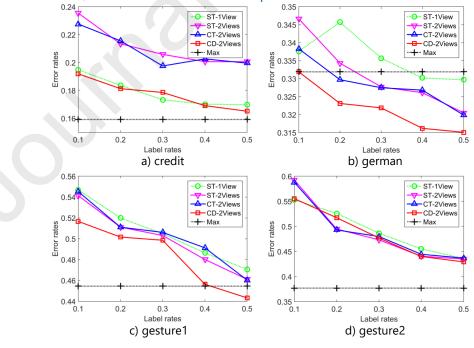
766 Table 9: Average performance of the selected methods using Navie Bayes classifier ( $\theta = 10\%$ ).

|          | ST-1View |        | w        | ST-2Views |           | CT-2Views |         | CD-2Views |         |         | Max    |         |             |
|----------|----------|--------|----------|-----------|-----------|-----------|---------|-----------|---------|---------|--------|---------|-------------|
|          | Initial  | Final  | Improv.  | Initial   | Final     | Improv.   | Initial | Final     | Improv. | Initial | Final  | Improv. | Performance |
| credit   | 0.1464   | 0.1580 | -7.92%   | 0.1464    | 0.1435    | 1.98%     | 0.1526  | 0.1464    | 4.06%   | 0.1457  | 0.140  | 3.40%   | 0.1374      |
|          |          |        |          |           |           |           |         |           |         |         | 7      |         |             |
|          | 0.3137   | 0.3320 | -5.83%   | 0.2890    | 0.290     | -0.35%    | 0.2890  | 0.2960    | -2.42%  | 0.3000  | 0.3040 | -1.33%  | 0.2554      |
| german   |          |        |          |           | 0         |           |         |           |         |         |        |         |             |
|          | 0.4808   | 0.4857 | -1.02%   | 0.4794    | 0.4786    | 0.17%     | 0.4794  | 0.4762    | 0.66%   | 0.4785  | 0.450  | 5.80%   | 0.4229      |
| gesture1 |          |        |          |           |           |           |         |           |         |         | 8      |         |             |
|          | 0.6334   | 0.6268 | 1.04%    | 0.6313    | 0.6315    | 0.00%     | 0.6373  | 0.6319    | 0.84%   | 0.6303  | 0.611  | 2.94%   | 0.5605      |
| gesture2 |          |        |          |           |           |           |         |           |         |         | 8      |         |             |
|          | 0 2474   | 0 2935 | -18.63%  | 0 2396    | 0 2 3 6 5 | 1 28%     | 0 2366  | 0 2 3 6 6 | 0.00%   | 0 2355  | -      | 4.15%   | 0.2077      |
| horse    | 0.2474   | 0.2000 | . 0.0070 | 0.2000    | 0.2000    |           | 0.2000  | 0.2000    | 0.0070  | 0.2000  | 8      |         | 0.2011      |

| kdd      | 0.1600 0.1473 | 7.94%   | 0.1543 0.1517  | 1.73%         | 0.1567 0.1507 | 3.83%  | 0.1403 <b>0.105</b>             | 25.18%  | 0.0707 |
|----------|---------------|---------|----------------|---------------|---------------|--------|---------------------------------|---------|--------|
| parkinso | 0.4673 0.4692 | -0.41%  | 0.4702 0.4615  | 1.84%         | 0.4702 0.4712 | -0.20% | v                               | 1.25%   | 0.3912 |
| n        | 0.3467 0.4419 | -27.47% | 0.3474 0.3360  | <b>3.29</b> % | 0.3412 0.3412 | 0.00%  | <b>8</b><br>0.3319 <b>0.326</b> | 1.58%   | 0.2286 |
| sonar    | 0.3704 0.3621 | -2.24%  | 0.3710 0.3836  | -3.38%        | 0.3810 0.3700 | 2.90%  | <b>7</b><br>0.3721 <b>0.356</b> | 4.21%   | 0.2996 |
| ttt      | 0 1209 0 1001 | 16 639/ | 0 1256 0 1 412 | 4 100/        | 0 1456 0 1256 | 6 970/ | 4                               | 10.050/ | 0.0515 |
| wine     | 0.1308 0.1091 | 10.03%  | 0.1356 0.1412  | -4.10%        | 0.1456 0.1356 | 6.87%  | 0.1124 0.098                    | 12.85%  | 0.0515 |
| Avg.     | 0.3297 0.3426 | 1.70%   | 0.3264 0.3254  | 0.25%         | 0.3289 0.3256 | 1.65%  | 0.3208 <b>0.307</b><br><b>5</b> | 6.00%   | 0.2626 |

To fully evaluate the potential of the proposed model, some experiments under different label rates are also carried out. Their results are shown in Figures 2 and 3. Note that "Max" denotes the performance of the classifier under the label rate of 100%.

771 As shown in Figures 2 and 3, CD-2Views achieves impressive performance 772 after capitalizing on unlabeled data. Since ST-1View is a single view model, 773 unlabeled data can be only evaluated by itself. As a result, ST-1View obtains 774 poor results on most data sets. For example, on data sets "german" and "horse", 775 ST-1View under higher label rate even gets worse performance. One reason for 776 these results may be the rarity of initial labeled data. Since the labeled objects 777 are selected limitedly and randomly in the experiments, the generalization ability of the trained base classifier is relatively weak, resulting in the unstable 778 779 performance, especially when the selected objects are not informative and 780 representative. Moreover, the quality of unlabeled data used for learning has a 781 considerable effect on performance. The self-labeled objects are inevitably 782 mislabeled, which further reduces the performance of ST-1View. ST-2Views is



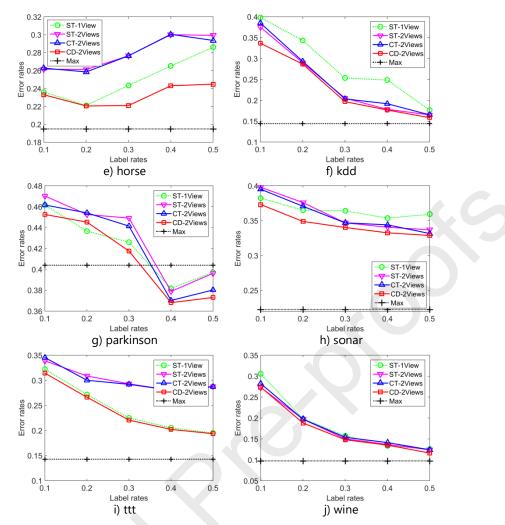
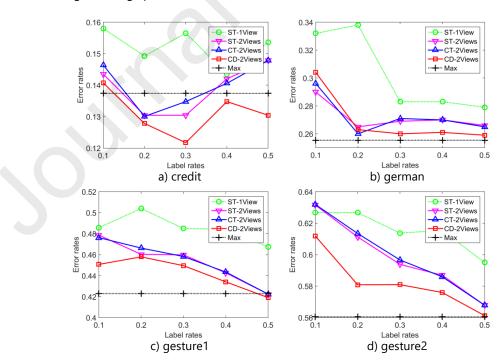
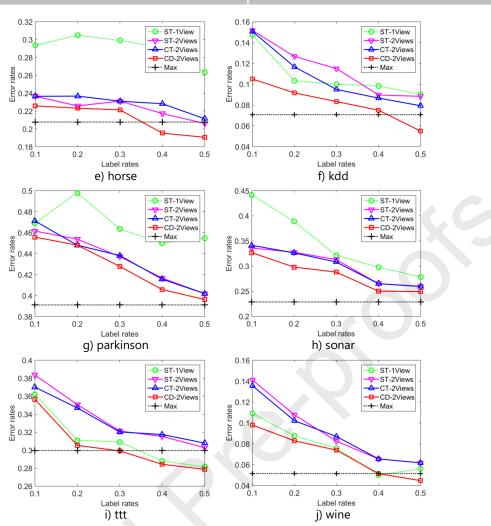




Fig 2: Average performance of the selected methods under different label rates (J48).



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784 Fig 3: Average performance of the selected methods under different label rates (Naive Bayes).

a multi-view model. But its final performance is still unsatisfactory. In fact, the 785 786 classifiers in ST-2Views are all self-taught. Furthermore, ST-2Views uses the 787 randomly split attribute subsets to train its base classifiers. These reasons could 788 attribute to the disappointing performance of ST-2Views. Although the 789 classifiers in CT-2Views could use unlabeled data to improve the performance 790 by learning from their counterparts, the subspaces for two classifiers are also 791 randomly generated by halving the whole attribute set. Thus, the quality of the 792 two classifiers cannot be guaranteed. As a result, some mislabeled objects may 793 be selected by the two classifiers for their counterparts and the final 794 performance of CT-2Views is undoubtedly poor. It can be verified by data sets 795 "credit", "horse", and "ttt". Different from ST-2Views and CT-2Views, CD-2Views 796 trains its base classifiers with reduct subspaces, each of which is a jointly 797 sufficient subset of attributes that keeps the same level of discriminating power 798 as the whole attribute set. Thus, the quality of the base classifiers in CD-2Views 799 is much better than that of ST-2Views and CT-2Views. In addition, the 800 performance of semi-supervised models is closely related to the unlabeled data 801 used in the training stage. On the one hand, CD-2Views employs the theory of 802 three-way decision to categorize unlabeled data in a collaborative way. Only

803 the useful unlabled objects determined by the co-decision model are selected 804 to learn, while the useless unlabeled objects will be directly abandoned by the 805 model. On the other hand, the eligible unlabeled objects in each round of co-806 training are further tested by the effect on the performance of the classifier to 807 learn. The training set of each classifier is updated only when the unlabeled 808 objects to learn bring a positive effect on performance. With the above 809 constraints, CD-2Views could use the really helpful unlabeled objects to 810 improve the performance. On data sets "credit", "gesture2" and "parkinson", CD-811 2Views under some label rates achieves a slightly worse performance. These 812 results may be due to the strict constraint on the number of useful unlabeled 813 objects in each round of co-training so that the performance improvement is 814 confined. However, on most of other data sets, CD-2Views under different label 815 rates yields a significant performance improvement. These experimental results 816 demonstrate that CD-2Views could effectively make use of unlabeled data to 817 improve the performance, indicating the potential of the proposed model to 818 learn from partially labeled data.

819 It is worth mentioning that, on some data sets, like "horse" and "parkinson" 820 with J48, and "credit" and "german" with Naive Bayes, the performance of the 821 selected methods decreases as the label rate increases. One possible 822 explanation is that the scale of labeled data is not sufficient to train a classifier 823 with good generalization ability. Besides, the methods cannot obtain 824 satisfactory results when the initial labeled data is not representative. It is also 825 impressive that the selected methods, especially the proposed one, achieve 826 even better results than the maximum performance of data set, i.e., a trained 827 classifier with the label rate  $\theta = 100\%$ . These findings are understandable 828 because these methods benefit from unlabeled data and multi-view. These 829 results confirm the fact that unlabeled data are helpful for improving learning 830 performance.

## 831 5. Conclusions

832 Most real-world applications come with few labeled data and a large 833 amount of unlabeled data. While the way of selecting and using informative 834 unlabeled objects is of great importance to learning model for partially labeled 835 data. In this paper, we develop the concept of the confidence discernibility 836 matrix, based on which two semi-supervised attribute reduction algorithms are 837 presented. To effectively learn from partially labeled data, we also introduce the 838 co-decision model by incorporating the theory of three-way decision into co-839 training. Furthermore, the principle of noise learning is employed to conduct 840 the selection of useful unlabeled data. The experimental results on UCI data 841 sets show that the performance of our proposed model is promising when 842 compared with the representatives. It should be noted that the proposed model 843 focuses on partially labeled data with only categorical attributes so that the 844 numerical attributes must be discretized. An extended model for partially 845 labeled data with both categorical and numerical attributes is expected in the

future. Also, the uncertainty analysis of the proposed model is also our futurework.

## 848 6. Acknowledgement

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